

IRREDUCIBILITY OF EVENTUALLY 2-PERIODIC CURVES IN THE MODULI SPACE OF CUBIC POLYNOMIALS

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ABSTRACT. Let $k \geq 0, n \geq 1$ be integers. In the moduli space of cubic polynomials over complex numbers, with a marked critical point, consider the curve consisting of conjugacy classes of polynomials for which the marked critical point is strictly (k, n) -preperiodic. Milnor conjectured that these affine algebraic curves are irreducible. In this article, we show that the infinite family of eventually 2-periodic curves are all irreducible. We also note that the eventually 2-periodic curves exhibit a possible splitting-merging phenomenon that has not been observed in earlier studies. Finally, using the irreducibility of the eventually 2-periodic curves, we give a new and short proof of Galois conjugacy of unicritical points lying on these curves, whenever the preperiod is even.

1. INTRODUCTION

Complex dynamics (also called holomorphic dynamics) is the study of self-iterates of rational functions on the Riemann sphere. Spaces of rational functions (in particular, polynomials) of fixed degree have been an integral part of complex dynamics since 1980s ([BM81], [BH88], [M90], [BDK91], [BH92], [MP92], [R06], [LQ07], [Mil09], [BKM10], [Mil14]). It began with the moduli space of quadratic polynomials and its subsets of dynamical nature, like the Mandelbrot set. In two consecutive papers ([BH88], [BH92]), Branner and Hubbard pioneered the study of the moduli space of cubic polynomials. Later in a 1991 preprint, published as [Mil09], Milnor restructured this moduli space by considering cubic polynomials with a *marked* critical point. This space is a ramified 2 to 1 cover of the moduli space of cubic polynomials. In this article, we will partially answer a question raised by Milnor ([Mil09]), about the irreducibility of some dynamically interesting affine algebraic curves in the moduli space of cubic polynomials with a marked critical point.

Let f be a polynomial over \mathbb{C} . For any integer $m \geq 0$, we denote the iteration of f with itself m times, as f^m , *i.e.* $f^0 = Id$, $f^m = f^{m-1} \circ f$, for all $m \in \mathbb{N}$. For $x \in \mathbb{C}$, the *forward orbit* of x is defined to be the set of images of x under all the iterates of f , *i.e.* $\{f^m(x) \mid m \geq 0\}$.

A point $x \in \mathbb{C}$ is called a *periodic point* of period n if $f^n(x) = x$. It is called *strictly n -periodic point* if n is the smallest positive integer for which $f^n(x) = x$. A point $x \in \mathbb{C}$ is called a *(k, n) -preperiodic point* if $f^k(x)$ is a periodic point of period n , *i.e.* $f^{k+n}(x) = f^k(x)$. It is called *strictly (k, n) -preperiodic point* if $f^k(x)$ is strictly n -periodic and $f^l(x)$ is not periodic for any $0 \leq l < k$.

For a polynomial $f \in \mathbb{C}[z]$, the roots of the derivative of f are called the *finite critical points* of f . Consider the set S_3 of all cubic polynomials over \mathbb{C} , with a marked (finite) critical point. Two polynomials that are affine conjugate to each other exhibit the same dynamical behaviour. So, we consider the quotient space of S_3 , by identifying polynomials that are affine conjugate to each other, and the affine conjugation map sends the marked critical point of the first to the marked critical point of the latter. This space, \mathcal{M}_3 , is called *the moduli space of cubic polynomials with a marked critical point*. In other words, \mathcal{M}_3 is the space

$$\{(f, a) \mid f \in S_3, a \text{ is a finite critical point of } f\} / \sim,$$

where the equivalence relation \sim is given by $(f, a) \sim (g, a')$ if and only if there is $h \in \text{Aut}(\mathbb{C})$ such that $h \circ f \circ h^{-1} = g$ and $h(a) = a'$.

A polynomial in $\mathbb{C}[z]$ is called *monic* if its leading coefficient is one, and called *reduced* (or, *centered*) if the sum of its roots is zero. Observe that any polynomial is affine conjugate to a monic, reduced polynomial. Hence, \mathcal{M}_3 can be seen as space of affine conjugacy classes of monic, reduced cubic polynomials over \mathbb{C} , with a marked critical point. From [Mil09], every monic, reduced cubic polynomial with a marked critical point, can be written in the *modified Branner-Hubbard normal form* as,

$$f_{a,b}(z) = z^3 - 3a^2z + 2a^3 + b, \quad (1.1)$$

with $\pm a$ as its finite critical points, a is the marked critical point and $f(a) = b$ is a finite critical value. Let $a, b, a', b' \in \mathbb{C}$. A brief calculation shows that $f_{a,b}$ and $f_{a',b'}$ are affine conjugate to each other if and only if either $(a, b) = (a', b')$ or $(a, b) = (-a', -b')$. Hence, the moduli space \mathcal{M}_3 can be identified as,

$$\mathcal{M}_3 \longleftrightarrow \mathbb{C}^2 / ((a, b) \sim (-a, -b)).$$

The space $\mathbb{C}^2 / ((a, b) \sim (-a, -b))$ is isomorphic to the image of \mathbb{C}^2 under the affine Veronese map (See [Sha13], p. 52 (Veronese embedding) and p. 274 (affine Veronese map, $q = 1$ case)), $\mathbb{C}^2 \rightarrow \mathbb{C}^3$, $(a, b) \mapsto (a^2, ab, b^2)$. Hence, $\mathcal{M}_3 = \mathbb{C}^2 / ((a, b) \sim (-a, -b))$ is a 2-dimensional affine variety (Compare [Mil09], p. 5).

Let $k \geq 0$ and $n > 0$ be integers. Consider the set, $\mathcal{S}_{k,n}$, of all points $(a, b) \in \mathcal{M}_3$ such that the marked critical point a is strictly (k, n) -preperiodic under the polynomial map $f_{a,b}$. The set $\mathcal{S}_{k,n}$ is an affine algebraic curve in \mathcal{M}_3 . Milnor [Mil09] conjectured that the curves $\mathcal{S}_{0,n}$ are irreducible for $n \in \mathbb{N}$. In general, it is conjectured that

Conjecture 1.1. *For any choice of integers $k \geq 0$ and $n > 0$, the curve $\mathcal{S}_{k,n}$ is irreducible.*

Buff, Epstein, and Koch ([BEK18]) proved this conjecture for the curves $\mathcal{S}_{k,1}$. Arfeux and Kiwi ([AK20]) have shown that the curves $\mathcal{S}_{0,n}$ are irreducible for any $n \in \mathbb{N}$. In this article, we will prove this conjecture for the curves $\mathcal{S}_{k,2}$, for any non-negative integer k (See Theorem 5.10). We state the theorem below.

Theorem 1.2. *For any non-negative integer k , the curve $\mathcal{S}_{k,2}$ is irreducible.*

Our proof of Theorem 1.2 is of arithmetic nature and mimics the approach taken for the unicritical case in [BEK18]. Let $k \geq 0, n > 0$. We form polynomials $h_{k,n} \in \mathbb{Z}[a, b]$ such that $\mathcal{S}_{k,n}$ is Zariski dense in the curve of $h_{k,n}$ in \mathcal{M}_3 , with finite complement. We form the polynomials $h_{0,2}$ and $h_{1,2}$ explicitly and show that they are irreducible over \mathbb{C} . For $k \geq 2$, We show that $h_{k,2}$ polynomials are generalised 3-Eisenstein polynomials with respect to $h_{1,2}$ (See Remark 4.6). Hence, they are irreducible over \mathbb{Q} . If the polynomials $h_{k,2}$, $k \geq 0$ are irreducible over \mathbb{C} , then

we are done. Analogs of these polynomials in the $(k, 1)$, $k \geq 0$ case ([BEK18]) and $(0, n)$, $n \in \mathbb{N}$ case ([AK20]) have turned out to be irreducible. But in the eventually 2-periodic case, we observe a possible *splitting-merging phenomenon*. The polynomial $h_{1,2}$ is *reducible*. In general, the polynomials $h_{k,2}$ can be reducible over \mathbb{C} . If $h_{k,2}$ is reducible for some $k \geq 0$, then it can split into at most two factors over the field $\mathbb{Q}[i]$. We show that both of these factors, that lie in $\mathbb{Q}[i][a, b]$, have a smooth $\mathbb{Q}[i]$ -rational point. Using extension of irreducibility (Corollary 4.9), we get that both of these factors are irreducible over \mathbb{C} . Moreover, we show that the irreducible curves in \mathbb{C}^2 corresponding to these two factors merge together under the equivalence relation $(a, b) \sim (-a, -b)$, generating one irreducible curve in \mathcal{M}_3 , which contains $\mathcal{S}_{k,2}$ as a Zariski dense subset. This completes the proof of Theorem 1.2.

As a consequence of this approach, we find an explicit form of $h_{k,2}$ polynomials.

Proposition 1.3. *The polynomial $h_{0,2}$ is $(b - a)(b + 2a) + 1$. Let $k \in \mathbb{N}$. For k odd,*

$$h_{k,2} = \frac{f_{k,2} \cdot f_{k-1,1}}{h_{0,2} \cdot f_{k-1,2} \cdot f_{k,1}}, \quad (1.2)$$

and for k even,

$$h_{k,2} = \frac{f_{k,2} \cdot f_{k-1,1}}{f_{k-1,2} \cdot f_{k,1}}. \quad (1.3)$$

A polynomial over \mathbb{C} is called *unicritical* if and only if all the finite critical points are equal. Assuming $a = 0$ in Equation (1.1), we get the normal form of a monic, reduced unicritical cubic polynomial,

$$f_b(z) = z^3 + b. \quad (1.4)$$

For some of the recent studies on unicritical points in moduli spaces of polynomials, see [Mil14], [HT15], [BEK18], [G20], [Gok20], [BGok22], [BG23]. In [Mil14], Milnor conjectured that

Conjecture 1.4. *Let $k \geq 0, n > 0$. The finite set of values of b for which the critical point 0 is strictly (k, n) -preperiodic under f_b , form one Galois orbit under the action of the absolute Galois group of \mathbb{Q} .*

One can form a polynomial $R_{k,n} \in \mathbb{Z}[b]$, whose solution set is the set of all values of b for which 0 is strictly (k, n) -preperiodic. These are often called *Misiurewicz polynomials*, although there are other variants of these polynomials that have been called Misiurewicz polynomials as well in the literature (Compare [B18], [Gok20], [BG23], [BGok22]). According to usual convention, we do not consider the polynomial $R_{1,n}$, as the critical point 0 can never be strictly $(1, n)$ -preperiodic for any $n \in \mathbb{N}$. Theorem 1.1 in [HT15], along with a degree counting argument, shows

that the polynomials $R_{k,n}, k \geq 0, k \neq 1, n \in \mathbb{N}$ are never constant. Hence, Milnor's conjecture can be restated as,

Conjecture 1.5. *For any $k \geq 0, k \neq 1, n \geq 1$, the polynomial $R_{k,n}$ is irreducible over \mathbb{Q} .*

Vefa Goksel ([G20], [Gok20]) has shown that $R_{k,1}, R_{k,2}, k \geq 0$, polynomials are irreducible over \mathbb{Q} . Minsik Han ([H21], [Han21]) has established the irreducibility of analogous polynomials for post-critically prefixed rational maps of prime degree, lying in a single parameter family of rational maps with large automorphism group. Quite recently, Rohini Ramadas ([R24]) has formed an ingenious connection between irreducibility of Misiurewicz polynomials for moduli space of quadratic polynomials and irreducibility of curves, analogous to those mentioned in Conjecture 1.1, in the moduli space of quadratic rational maps. Replacing the normal form in Equation (1.4) with the form $f_c(z) = cz^3 + 1, c \in \mathbb{C}$, Buff, Epstein and Koch ([BEK18]) proved a parallel version of Conjecture 1.5 for $(k, 1), (k, 2), k \geq 0$ cases. Approaches in [BEK18], [G20], [Gok20] rely on studying arithmetic properties of $R_{k,n}$ polynomials and concluding that $R_{k,1}$ and $R_{k,2}$ polynomials are Eisenstein polynomials. Here we show that the Eisenstein nature of $R_{k,2}$ polynomials arise from the Eisenstein nature of $h_{k,2}$ polynomials, mentioned above. Thus we obtain a new and short proof of Conjecture 1.5 for k even and $n = 2$ (Theorem 7.2). We state the theorem below.

Theorem 1.6. *For any even $k \in \mathbb{Z}, k \geq 0$, the polynomial $R_{k,2}$ is irreducible over \mathbb{Q} .*

In the case of odd $k \in \mathbb{N}$, this approach gets obstructed due to an extra $(b^2 + 1)$ factor appearing in the factorization of $h_{k,2}(0, b)$. The appearance of this factor obscures the exact valuation of the resultant of $R_{k,2}$ and $b^2 + 1$, with respect to the prime 3.

We provide a sectionwise summary here. In Section 2, we form the polynomials $h_{k,2}, k \geq 0$, and show that the curve in \mathcal{M}_3 corresponding to $h_{k,2}$ contains $\mathcal{S}_{k,2}$ as a Zariski dense subset. In Section 3, we fix some notations to be used in the later sections. In Section 4, we state some lemmas and tools to be used in the proofs of the later sections. In Section 5, we prove irreducibility of the curves $\mathcal{S}_{k,2}$. In Section 6, we obtain the explicit form of the polynomials $h_{k,2}$. In Section 7, we prove irreducibility results in the unicritical cubic case. Finally, in Section 8, we show that our method does not extend directly for the curves $\mathcal{S}_{k,q}$, where q is an odd prime number.

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2. PRELIMINARIES

Recall that for any $k \geq 0, n > 0$, $\mathcal{S}_{k,n}$ denote the set of all points $(a, b) \in \mathcal{M}_3$ such that a is strictly (k, n) -preperiodic under $f(z) = z^3 - 3a^2z + 2a^3 + b$. In this section, we will form polynomials $h_{k,n}$ such that $\mathcal{S}_{k,n}$ is Zariski dense in the curve of $h_{k,n}$ in \mathcal{M}_3 . As a and b serve as parameters for the set of all monic, reduced cubic polynomials over \mathbb{C} , we will drop the subscripts a, b from $f_{a,b}$ as in Equation (1.1).

Let $k \geq 0, n > 0$. Any point $(a, b) \in \mathcal{M}_3$ for which a is (k, n) -preperiodic must satisfy the equation,

$$f_{k,n} := f^{k+n}(a) - f^k(a) = 0,$$

where f is the polynomial,

$$f(z) = z^3 - 3a^2z + 2a^3 + b. \quad (2.1)$$

Observe that a is not necessarily strictly (k, n) -preperiodic for every point (a, b) that satisfies $f_{k,n}$. In Lemma 4.1, we will show that for $0 \leq l \leq k$, $1 \leq m$ and $m|n$, the polynomial $f_{l,m}$ divides $f_{k,n}$ in $\mathbb{Z}[a, b]$. So, we form the polynomial,

$$h_{k,n} = \frac{f_{k,n}}{\prod_i g_i^{\alpha_i}}, \quad (2.2)$$

where g_i varies over all irreducible factors of $f_{l,m}$ in $\mathbb{Z}[a, b]$, for all $0 \leq l \leq k, 1 \leq m, m|n, (k, n) \neq (l, m) \in \mathbb{Z}^2$, and α_i is the highest power of g_i that divides $f_{k,n}$.

Lemma 2.1. *Let $k \geq 0, n > 0$. The polynomial $h_{k,n}$ kills $\mathcal{S}_{k,n}$. Moreover, $\mathcal{S}_{k,n}$ is Zariski dense in the curve of $h_{k,n}$ in \mathcal{M}_3 , with finitely many points in its complement.*

Proof. Any point in $\mathcal{S}_{k,n}$ lies on the curve of $f_{k,n}$ but not on the curve of g_i , for any g_i appearing in Equation (2.2). Hence, $\mathcal{S}_{k,n}$ is a subset of the curve of $h_{k,n}$ in \mathcal{M}_3 . The complement of $\mathcal{S}_{k,n}$ in the curve of $h_{k,n}$ consists of points (a, b) for which a is (k, n) -preperiodic but not strictly. So, each of them is a solution of some

g_i appearing in Equation (2.2). By definition of $h_{k,n}$, $h_{k,n}$ is coprime to g_i over \mathbb{Z} , for every i . The polynomials $h_{k,n}$ and g_i 's are all monic as polynomials in b over $\mathbb{Z}[a]$. So for every i , the polynomials g_i and $h_{k,n}$ are coprime over \mathbb{Q} . Hence, $h_{k,n}$ is coprime to g_i over \mathbb{C} too, for every i . As there are only finitely many g_i 's, the complement of $\mathcal{S}_{k,n}$ in the curve of $h_{k,n}$ is finite. Hence, $\mathcal{S}_{k,n}$ is Zariski dense subset of the curve of $h_{k,n}$ in \mathcal{M}_3 , with finitely many points in its complement. \square

From Lemma 2.1, one directly obtains the following corollary,

Corollary 2.2. *For any $k \geq 0, n > 0$, the set $\mathcal{S}_{k,n}$ is an algebraic curve. Also, if the polynomial $h_{k,n}$ is irreducible over \mathbb{C} , then the curve $\mathcal{S}_{k,n}$ is irreducible.* \square

Corollary 2.3. *Let $k \geq 0, n > 0$. Assume that the polynomial $h_{k,n}$ factorizes in $\mathbb{C}[a, b]$ as $h_{k,n} = t \cdot t^\diamond$, where $t \in \mathbb{C}[a, b]$ is an irreducible polynomial and $t^\diamond(a, b) := t(-a, -b)$. Then, $\mathcal{S}_{k,n}$ is an irreducible curve in \mathcal{M}_3 .*

Proof. By definition of t^\diamond , the curves of t, t^\diamond and $h_{k,n}$ in \mathcal{M}_3 , coincide. Replacing $h_{k,n}$ with t (or, t^\diamond) in Lemma 2.1 and Corollary 2.2, we obtain the corollary. \square

Remark 2.4. As we will see in section 5, the converse of the second part of Corollary 2.2 is not true. For example, we will see that $h_{k,2}$ polynomials can be reducible over \mathbb{C} . It turns out that for any $k \geq 0$, $h_{k,2}$ can have at most two irreducible factors over \mathbb{C} . We will further show that if $h_{k,2}$ is reducible for some $k \geq 0$, it must factorize as in the assumption of Corollary 2.3. Thus, using Corollaries 2.2 and 2.3, we will show that $\mathcal{S}_{k,2}$ is an irreducible curve in \mathcal{M}_3 , for any $k \geq 0$.

3. NOTATIONS

We will use the following notations for the rest of the article. Let g, h be elements of $\mathbb{Z}[a, b]$, the polynomial ring in variables a, b over \mathbb{Z} .

- By saying g is *monic* in $\mathbb{Z}[a][b]$, we mean g is monic as a polynomial in b over the ring $\mathbb{Z}[a]$.
- By $\text{Res}(g, h)$, we denote the *resultant* of g and h , both considered as polynomials in b with coefficients coming from $\mathbb{Z}[a]$. So, $\text{Res}(g, h) \in \mathbb{Z}[a]$.

Consider the polynomial f as defined in Equation (2.1). For any non-negative integers k, n , with $n > 0$,

- $f^0 :=$ identity map, $f^n := f^{n-1} \circ f$, for all $n \in \mathbb{N}$.
- f' denote the derivative of f with respect to z .
- $f_{k,n} = f_{k,n}(a, b) := f^{k+n}(a) - f^k(a)$.

- $h_{k,n} = h_{k,n}(a, b) := f_{k,n} / \prod_i g_i^{\alpha_i}$, where g_i varies over all distinct irreducible factors of $f_{l,m}$ over \mathbb{Z} , where $l \leq k$, $m|n$, $(l, m) \neq (k, n) \in \mathbb{Z}^2$, and for each i , α_i is the highest power of g_i that divides $f_{k,n}$.
- $\mathbb{C}^2 :=$ complex affine space of dimension 2.
- $\mathcal{M}_3 := \mathbb{C}^2 / \left((a, b) \sim (-a, -b) \right)$.
- $\mathcal{S}_{k,n} :=$ the set of all points of \mathcal{M}_3 for which a is strictly (k, n) -preperiodic.
- $G_{\mathbb{Q}}$ denotes the absolute Galois group of \mathbb{Q} .
- \mathbb{F}_3 denotes the finite field of order 3.

Let F be a number field and $g \in F[a, b]$.

- By saying g has a smooth F -rational point, we mean that there exists a point $(a^0, b^0) \in F^2$, such that $g(a^0, b^0) = 0$ and g is smooth at (a^0, b^0) .

4. BASIC LEMMAS AND TOOLS

In this section, we gather a collection of lemmas and tools that will be used in later sections. Generalizations of some statements of this section have been proved in [Pat23]. For such statements, we omit the proof here and refer to the generalized statement in [Pat23].

Consider the Equation in [Pat23, Equation (2.2)],

$$f(z) = z^d + \sum_{i=2}^{d-1} (-1)^i \frac{d}{d-i} \cdot s_i(\bar{\alpha}) \cdot z^{d-i} - \alpha_1^d - \sum_{i=2}^{d-1} (-1)^i \frac{d}{d-i} \cdot s_i(\bar{\alpha}) \cdot \alpha_1^{d-i} + \beta, \quad (4.1)$$

where f is a degree d , monic, reduced polynomial with finite critical points $\alpha_1, \alpha_2, \dots, \alpha_{d-1}$, and $f(\alpha_1) = \beta$ is a finite critical value. Here, $s_i(\bar{\alpha})$ denote the i th elementary symmetric polynomial in $\alpha_1, \alpha_2, \dots, \alpha_{d-1}$ for $1 \leq i \leq d-1$.

Putting degree $d = 3$ and $\alpha_1 = a, \alpha_2 = -a, \beta = b$ in Equation (4.1), we get the modified *Branner Hubbard normal form* for monic reduced cubic polynomials,

$$f(z) = z^3 - 3a^2z + 2a^3 + b. \quad (4.2)$$

4.1. Divisibility properties of $f_{k,n}$. Let $k, l \in \mathbb{Z}$ such that $0 \leq l \leq k$.

Lemma 4.1. *Let $m, n \in \mathbb{N}$ such that m divides n . The polynomial $f_{l,m}$ divides $f_{k,n}$ in $\mathbb{Z}[a, b]$.*

Proof. In [Pat23, Lemma 4.1], replacing $\hat{f}_{k,n,d}, \hat{f}_{l,m,d}, \mathbb{Z}_{(p)}[\alpha_1, \alpha_2, \dots, \alpha_{d-2}, \beta]$ with $f_{k,n}, f_{l,m}, \mathbb{Z}[a, b]$ respectively, one obtains this lemma. \square

Lemma 4.2. *Let $m, n \in \mathbb{N}$, and $\gcd(m, n) = r$. Let g be an irreducible element of $\mathbb{Z}[a, b]$, monic as a polynomial in $\mathbb{Z}[a][b]$. If g divides both $f_{k,n}$ and $f_{l,m}$ in $\mathbb{Z}[a, b]$, then g divides $f_{l,r}$ in $\mathbb{Z}[a, b]$.*

Proof. Similar to lemma 4.1, one obtains this lemma from [Pat23, Lemma 4.2]. \square

From Lemmas 4.1 and 4.2, one directly obtains the following corollary,

Corollary 4.3. *Let $m, n \in \mathbb{N}$, and $\gcd(m, n) = r$. The polynomial $f_{l,r}$ divides $\gcd(f_{k,n}, f_{l,m})$ in $\mathbb{Z}[a, b]$. Moreover, The radical ideals of the ideal generated by $f_{l,r}$ and the ideal generated by $\gcd(f_{k,n}, f_{l,m})$ are the same. \square*

4.2. A weak version of Thurston's rigidity theorem for \mathcal{M}_3 .

Theorem 4.4. *Fix $k_1, k_2 \in \mathbb{N} \cup \{0\}$, and $n_1, n_2 \in \mathbb{N}$. Then, the polynomials*

$$f^{k_1+n_1}(a) - f^{k_1}(a) \text{ and } f^{k_2+n_2}(-a) - f^{k_2}(-a)$$

are coprime in $\mathbb{C}[a, b]$.

Proof. In the version of Thurston's rigidity theorem stated in [Pat23, Theorem 4.4], replacing

$$\hat{f}^{k_1+n_1}(\alpha_1) - \hat{f}^{k_1}(\alpha_1) \text{ and } \hat{f}^{k_i+n_i}(\alpha_i) - \hat{f}^{k_i}(\alpha_i)$$

with

$$f^{k_1+n_1}(a) - f^{k_1}(a) \text{ and } f^{k_2+n_2}(-a) - f^{k_2}(-a)$$

respectively, one obtains this theorem. \square

4.3. Generalised Eisenstein Irreducibility criterion.

Theorem 4.5. *Let g, h be non-constant elements of $\mathbb{Z}[a, b]$, both monic as elements of $\mathbb{Z}[a][b]$. Let $\text{Res}(g, h)$ denote the resultant of g and h , both considered as polynomials in b over the integral domain $\mathbb{Z}[a]$. Suppose for some prime number $p > 0$, the following conditions hold,*

- 1) $g \equiv h^n \pmod{p}$, for some $n \in \mathbb{N}$.
- 2) $h \pmod{p}$ is irreducible in $\mathbb{F}_p[a, b]$.
- 3) $\text{Res}(g, h) \not\equiv 0 \pmod{p^{2 \cdot \deg(h)}}$, where $\deg(h)$ is the degree of h as a polynomial in b over $\mathbb{Z}[a]$.

Then, g is irreducible in $\mathbb{Q}[a, b]$.

Proof. Replacing $\mathbb{Z}[\alpha_1, \dots, \alpha_{p^e-2}, \beta]$ with $\mathbb{Z}[a, b]$ in [Pat23, Theorem 4.6], this theorem follows. \square

Remark 4.6. If the assumptions of Theorem 4.5 hold, then g is called a generalised p -Eisenstein polynomial with respect to h .

4.4. Extension of irreducibility. In this subsection, we relate the irreducibility of a multivariate polynomial over a number field and over \mathbb{C} . The results in this subsection are borrowed from the article [BEK18] by Buff, Epstein and Koch. We should mention that while we state Theorem 4.7 and Corollaries 4.8, 4.9 for polynomials in two variables, they can be directly generalised for polynomials in any number of variables.

Theorem 4.7. [BEK18, Lemma 5] *Let g be an element of $\mathbb{Q}[a, b]$. Let $g(0, 0) = 0$, and the linear part of g is non-zero. Then,*

$$g \text{ is irreducible in } \mathbb{Q}[a, b] \iff g \text{ is irreducible in } \mathbb{C}[a, b]. \quad \square$$

Corollary 4.8. *Let g be an element of $\mathbb{Q}[a, b]$. Assume that g has a smooth \mathbb{Q} -rational point. Then,*

$$g \text{ is irreducible in } \mathbb{Q}[a, b] \iff g \text{ is irreducible in } \mathbb{C}[a, b].$$

Proof. By an affine change of coordinate, sending (a^0, b^0) to $(0, 0)$, from g one obtains a polynomial $\tilde{g} \in \mathbb{Q}[a, b]$ such that the constant term of \tilde{g} is zero and \tilde{g} has non-zero linear part. Also, g is irreducible over \mathbb{C} (or, over \mathbb{Q}) $\iff \tilde{g}$ is irreducible over \mathbb{C} (or, over \mathbb{Q}). Applying Theorem 4.7 on \tilde{g} , one obtains the corollary. \square

Corollary 4.9. *Let F be a number field, which means finite extension over \mathbb{Q} . Let g be an element of $F[a, b]$. Assume that g has a smooth F -rational point. Then,*

$$g \text{ is irreducible in } F[a, b] \iff g \text{ is irreducible in } \mathbb{C}[a, b].$$

Proof. Replacing \mathbb{Q} with F in the proofs of Theorem 4.7 and Corollary 4.8, every argument there follows verbatim, and one obtains this corollary. \square

4.5. Even and odd polynomials.

Definition 4.10. Let g be an element in $\mathbb{C}[a, b]$. we say g is *even polynomial* if and only if $g(a, b) = g(-a, -b)$, and g is *odd polynomial* if and only if $g(a, b) = -g(-a, -b)$.

Every non-zero polynomial $g \in \mathbb{C}[a, b]$, can be written as $g = g_e + g_o$, where $g_e \in \mathbb{C}[a, b]$ is an even polynomial, and $g_o \in \mathbb{C}[a, b]$ is an odd polynomial.

Let G_e (and, G_o) denote the set of all even (and, odd) polynomials in $\mathbb{C}[a, b]$.

Lemma 4.11. *The sets G_e, G_o are additive subgroups of $\mathbb{C}[a, b]$. The set $G := G_e \cup G_o$ is closed under multiplication. Also, if $g_1, g_2 \in G$, and $g_1 = g_2 \cdot h$, for some $h \in \mathbb{C}[a, b]$, then h belongs to G .*

Proof. Only the last part of the lemma is non-trivial. We will prove the last part by contradiction. Assume that h is neither even nor odd polynomial. So, h admits an even-odd decomposition $h = h_e + h_o$, where h_e is even polynomial, h_o is odd polynomial and $h_e \neq 0 \neq h_o$. Now, g_2 being even or odd polynomial, g_1 admits an even-odd decomposition $g_1 = g_2 \cdot h_e + g_2 \cdot h_o$, where $g_2 \cdot h_e \neq 0 \neq g_2 \cdot h_o$. Hence, we arrive at a contradiction. \square

Lemma 4.12. *For $k \in \mathbb{N} \cup \{0\}, n \in \mathbb{N}$, the polynomials $f_{k,n}$ are odd polynomials.*

Proof. Let $l \geq 0$. Consider the polynomial $f^l(z) \in \mathbb{Z}[z, a, b]$. Every monomial term of $f^l(z)$ is of odd degree. Hence, same is true for $f^l(a)$. Therefore, $f^l(a)$ is an odd polynomial in $\mathbb{Z}[a, b]$ for any $l \geq 0$ and so is $f_{k,n} = f^{k+n}(a) - f^k(a)$, for any $k \geq 0, n \in \mathbb{N}$. \square

Corollary 4.13. *Let $k \in \mathbb{N} \cup \{0\}, n \in \mathbb{N}$. If the polynomials $h_{l,m}$ are irreducible over \mathbb{Q} for all $0 \leq l \leq k, 1 \leq m \leq n, (l, m) \neq (k, n) \in \mathbb{Z}^2$, then the polynomial $h_{k,n}$ is even or odd polynomial.*

Proof. If the polynomials $h_{l,m}$ are irreducible over \mathbb{Q} for all $0 \leq l \leq k, 1 \leq m, m|n, (l, m) \neq (k, n) \in \mathbb{Z}^2$, then for any such (l, m) (including (k, n)), one can write

$$f_{l,m} = h_{l,m} \cdot \prod_{\substack{0 \leq j \leq l, 1 \leq r, r|m, \\ (j,r) \neq (l,m) \in \mathbb{Z}^2}} h_{j,r}^{a_{j,r}}, \text{ for some } a_{j,r} \in \mathbb{N}$$

Observe that $h_{0,1} = f_{0,1} = b - a$ is an odd polynomial. Applying induction on both l and m such that $0 \leq l \leq k, 1 \leq m, m|n$, and using the last part of Lemma 4.11, one gets that $h_{k,n}$ is even or odd polynomial. \square

Lemma 4.14. *Let $g \in \mathbb{C}[a, b]$ be an even or odd polynomial. Let $h \in \mathbb{C}[a, b]$ be an irreducible polynomial, having a decomposition $h = h_e + h_o$, where h_e is even polynomial, and h_o is odd polynomial. Let $h^\diamond := h_e - h_o = h(-a, -b)$. Then the following statements are true,*

1) h^\diamond is irreducible.

2) In $\mathbb{C}[a, b]$, h divides $g \iff h^\diamond$ divides g .

3) If h is not even or odd polynomial, then h and h^\diamond are distinct (i.e., not equal up to associates) irreducible polynomials in $\mathbb{C}[a, b]$.

Proof. First two parts of the lemma follow from using the change of variable $(a, b) \rightarrow (-a, -b)$.

For the third part of the lemma, if h is not even or odd polynomial, then $h_e \neq 0 \neq h_o$. So, if h and h^\diamond are constant multiple of each other, then h divides $h \pm h^\diamond$, which are the polynomials $2h_e, 2h_o$. As either $\deg(h_e) < \deg(h)$ or $\deg(h_o) < \deg(h)$, we get a contradiction. \square

4.6. Resultant. For this subsection, we follow the notations from the section on resultant in ([L12], p.200). Let A be a commutative ring. Let $v_0 \neq 0, v_1, \dots, v_n$ and $w_0 \neq 0, w_1, \dots, w_m$ be elements of A (In [L12], Lang considers them to be variables (algebraically independent over A) and later on restricts to particular values from A , but we do not require that generality.). Consider the polynomials,

$$f(X) = v_0X^n + \dots + v_n, \quad (4.3)$$

$$g(X) = w_0X^m + \dots + w_m. \quad (4.4)$$

The *resultant* of f and g , denoted $\text{Res}(f, g)$, is the determinant of the $(m+n) \times (m+n)$ Sylvester matrix, with $v_i, 0 \leq i \leq n, w_j, 0 \leq j \leq m$ and zeroes as entries, as stated in [L12], p.200. We denote the Sylvester matrix corresponding to f and g as,

$$T_{f,g} = [t_{kl}], \quad 1 \leq k, l \leq (m+n), \quad (4.5)$$

where depending on k and l , t_{kl} can be some v_i or w_j or 0.

We will prove a lemma about *invariance of resultant under degree preserving homomorphisms*. The proof is quite straightforward, but we could not find a reference in the literature for this statement. Hence, we are reproducing it here.

Lemma 4.15. *Let B be another commutative ring. Let $\phi : A \rightarrow B$ be a ring homomorphism, which is naturally extended to a ring homomorphism $\psi : A[X] \rightarrow B[X]$, by fixing X . Assume that degree of $\psi(f) = \text{degree of } f$, and degree of $\psi(g) = \text{degree of } g$, i.e. $\phi(v_0) \neq 0 \neq \phi(w_0)$. Then,*

$$\text{Res}(\psi(f), \psi(g)) = \phi(\text{Res}(f, g)). \quad (4.6)$$

Proof. As ψ preserves the degrees of f and g , the Sylvester matrix in the coefficients of $\psi(f)$ and $\psi(g)$ will be

$$T_{\psi(f), \psi(g)} = [\phi(t_{k,l})], \quad 1 \leq k, l \leq (m+n), \quad (4.7)$$

where $T_{f,g} = [t_{k,l}], 1 \leq k, l \leq (m+n)$, as in Equation (4.5). Hence, the following equalities hold,

$$\text{Res}(\psi(f), \psi(g)) = \det([\phi(t_{k,l})]) = \phi(\det([t_{k,l}])) = \phi(\text{Res}(f, g)). \quad (4.8)$$

The second equality holds because the determinant is a polynomial expression in the entries of a matrix and ϕ is a ring homomorphism. The lemma is proved. \square

5. IRREDUCIBILITY OF THE CURVES $\mathcal{S}_{k,2}$

From Equation (2.1), we have the normal form

$$f(z) = z^3 - 3a^2z + 2a^3 + b, \quad (5.1)$$

with $\pm a$ as finite critical points.

One observes the following factorization,

$$f(z) - f(w) = (z - w)(z^2 + zw + w^2 - 3a^2). \quad (5.2)$$

We first study the polynomial $h_{1,2}$. This will give us a glimpse into the general nature of the polynomials $h_{k,2}$, $k \geq 0$.

Lemma 5.1. *The polynomial $h_{1,2}$ is $(b-a)^2+1$, which has the following properties:*

- *It is irreducible over \mathbb{Q} ,*
- *It is reducible and smooth over \mathbb{C} ,*
- *There is no \mathbb{Q} -rational point on the solution curve of $h_{1,2}$ in \mathbb{C}^2 ,*
- *The curve, $\mathcal{S}_{1,2}$, of $h_{1,2}$ in \mathcal{M}_3 is an irreducible line.*

Proof. To obtain $h_{1,2}$, we need to factor out all irreducible factors of $f_{0,2}$ and $f_{1,1}$ from $f_{1,2}$ with each irreducible factor raised to their highest power that divides $f_{1,2}$. We compute,

$$\begin{aligned} f_{0,2} &= f(b) - a \\ &= b^3 - 3a^2b + 2a^3 + b - a \\ &= (b - a)((b - a)(b + 2a) + 1). \end{aligned} \quad (5.3)$$

$$\begin{aligned} f_{1,1} &= f(b) - b \\ &= b^3 - 3a^2b + 2a^3 \\ &= (b - a)^2(b + 2a). \end{aligned} \quad (5.4)$$

$$\begin{aligned} f_{1,2} &= f^3(a) - f(a) \\ &= ((f^2(a))^2 + af^2(a) - 2a^2)(f^2(a) - a) \\ &= ((f(b))^2 + af(b) - 2a^2)f_{0,2} \\ &= (f(b) + 2a)(f(b) - a)f_{0,2} \\ &= (f(b) + 2a)(f_{0,2})^2 \end{aligned} \quad (5.5)$$

$$\begin{aligned}
 &= (b^3 - 3a^2b + 2a^3 + b + 2a) (f_{0,2})^2 \\
 &= (b + 2a) ((b - a)^2 + 1) (f_{0,2})^2.
 \end{aligned} \tag{5.6}$$

As $(b - a)^2 + 1$ is irreducible over \mathbb{Q} , and $(b + 2a)$ is a factor of $f_{1,1}$, we get

$$\begin{aligned}
 h_{1,2} &= (b - a)^2 + 1 \\
 &= (b - a + i)(b - a - i)
 \end{aligned} \tag{5.7}$$

Define $l_1(a, b) := (b - a + i)$, $l_2(a, b) := (b - a - i)$. Now, it directly follows that $h_{1,2}$ is irreducible over \mathbb{Q} , reducible and smooth over \mathbb{C} , and has no \mathbb{Q} -rational point on it. Also, $l_1(-a, -b) = -l_2(a, b)$. As \mathcal{M}_3 is obtained by identifying (a, b) with $(-a, -b)$ on \mathbb{C}^2 , the lines l_1 and l_2 merge together in \mathcal{M}_3 , making $\mathcal{S}_{1,2}$ an irreducible line in \mathcal{M}_3 . \square

Next, we will show that $h_{0,2}$ is irreducible over \mathbb{C} .

Lemma 5.2. *The polynomial $h_{0,2}$ is $(b - a)(b + 2a) + 1$, and it is irreducible over \mathbb{C} .*

Proof. From Equation (5.3) and the fact that $f_{0,1} = b - a$, we get that $h_{0,2} = (b - a)(b + 2a) + 1$. By a change of variable, one sees that $(b - a)(b + 2a) + 1$ is irreducible in $\mathbb{C}[a, b]$ if and only if $xy + 1$ is irreducible in $\mathbb{C}[x, y]$. Hence, the lemma is proved. \square

In the last two lemmas, we have seen $h_{0,2}$ and $h_{1,2}$ are irreducible over \mathbb{Q} . Next, we study the irreducibility of $h_{k,2}$ polynomials over \mathbb{Q} , where k varies over all natural numbers greater than 1. We will show that $h_{k,2}$ is 3-Eisenstein with respect to the polynomial $h_{1,2}$ (See Remark 4.6). For that, we need to check that the three conditions in generalised Eisenstein irreducibility criterion (Theorem 4.5) hold. First, we check that the condition 1 of Theorem 4.5 holds.

Lemma 5.3. *For any $k \in \mathbb{N}$, $h_{k,2} \equiv (h_{1,2})^{N_k} \pmod{3}$, for some $N_k \in \mathbb{N}$.*

Proof. From Equation (5.1), we have $f \equiv z^3 - a^3 + b \pmod{3}$. Hence,

$$\begin{aligned}
 f_{k,2} &= f^{k+2}(a) - f^k(a) \\
 &= f^{k+1}(b) - f^{k-1}(b) \\
 &\equiv \sum_{i=k}^{k+1} (b - a)^{3^i} \pmod{3} \\
 &\equiv (b - a)^{3^k} \left((b - a)^{2 \cdot 3^k} + 1 \right) \pmod{3} \\
 &\equiv (b - a)^{3^k} \left((b - a)^2 + 1 \right)^{3^k} \pmod{3}.
 \end{aligned}$$

Similarly, $f_{k,1} \equiv (b-a)^{3^k} \pmod{3}$. As $h_{k,2}$ divides

$$\frac{f_{k,2}}{f_{k,1}} \equiv ((b-a)^2 + 1)^{3^k} \pmod{3}$$

and the polynomial $(b-a)^2 + 1$ is irreducible modulo 3, we have $h_{k,2} \equiv ((b-a)^2 + 1)^{N_k} \equiv (h_{1,2})^{N_k} \pmod{3}$ (by Lemma 5.1), for some $N_k \in \mathbb{N}$. \square

As $h_{1,2}$ is irreducible modulo 3, condition 2 of generalised Eisenstein irreducibility criterion (Theorem 4.5) holds for $h_{1,2}$. For condition 3 of Theorem 4.5, we need to study the resultant $\text{Res}(h_{k,2}, h_{1,2})$, where $k \in \mathbb{N}, k > 1$. To do that, we require some divisibility properties of $f_{k,2}$ and $f_{0,k}$, which we study in Lemma 5.4.

Let $g_1, g_2 \in \mathbb{C}[a, b]$. Let $o(g_1, g_2) := \gamma$, such that $\gamma \in \mathbb{N} \cup \{0\}$ is the highest power of g_2 that divides g_1 in $\mathbb{C}[a, b]$.

Lemma 5.4. *For any $k \in \mathbb{N}$, we have $o(f_{k,2}, f_{0,2}) \geq 2$. For any even $k \in \mathbb{N}$, we have $o(f_{0,k}, f_{0,2}) = o(f_{0,k}, h_{0,2}) = 1$.*

Proof. From Equation (5.5), we get $f_{1,2} = (f(b) + 2a) \cdot (f_{0,2})^2$. So, $o(f_{1,2}, f_{0,2}) \geq 2$. As $f_{1,2}$ divides $f_{k,2}$ for any $k \in \mathbb{N}$, the first part of the lemma follows.

For even $k \in \mathbb{N}$, we know that $o(f_{0,k}, h_{0,2}) \geq o(f_{0,k}, f_{0,2}) \geq 1$. Hence, to prove both equalities of the lemma, it is enough to show that $o(f_{0,k}, h_{0,2}) = 1$. As k is even, let $k = 2l, l \in \mathbb{N}$. Observe that

$$\begin{aligned} f_{0,2l} &= f^{2l}(a) - a \\ &= \sum_{i=1}^l (f^{2i}(a) - f^{2i-2}(a)) \\ &= \sum_{i=1}^l f_{2i-2,2}. \end{aligned}$$

From the first part of this lemma, $o(f_{2i-2,2}, h_{0,2}) \geq o(f_{2i-2,2}, f_{0,2}) \geq 2$, for $i \in \mathbb{N}, i > 1$. Also, $o(f_{0,2}, h_{0,2}) = 1$. Hence, $o(f_{0,k}, h_{0,2}) = o(f_{0,2l}, h_{0,2}) = 1$. \square

In the next lemma and the following corollary, we establish condition 3 of generalised Eisenstein irreducibility criterion (Theorem 4.5) for $h_{k,2}$ and $h_{1,2}$.

Lemma 5.5. *Let $l = b - a + i \in \mathbb{C}[a, b]$. Up to multiplication by a power of i , The resultant $\text{Res}(h_{k,2}, l)$ is,*

$$\text{Res}(h_{k,2}, l) = \begin{cases} 3(2ai + 1); & k \text{ even, } k > 0, \\ 3a; & k \text{ odd, } k > 1. \end{cases}$$

Proof. Let $k \in \mathbb{N}, k > 1$. We will first remove irreducible factors of $f_{k-1,2}$ in $\mathbb{Z}[a, b]$, from $f_{k,2}$ with each such factor raised to the highest power that divides $f_{k,2}$. Consider the polynomial,

$$\begin{aligned} g_k(a, b) &:= \frac{f_{k,2}}{f_{k-1,2}} = \frac{f^{k+1}(b) - f^{k-1}(b)}{f^k(b) - f^{k-2}(b)} \\ &= (f^k(b))^2 + f^k(b)f^{k-2}(b) + (f^{k-2}(b))^2 - 3a^2 \\ &\equiv 3 \left((f^{k-2}(b))^2 - a^2 \right) \pmod{f_{k-1,2}}. \end{aligned} \quad (5.8)$$

The second equality above holds by Equation (5.2). So, any irreducible polynomial $s_k \in \mathbb{Z}[a, b]$ that divides both g_k and $f_{k-1,2}$, will also divide

$$3 \left((f^{k-2}(b))^2 - a^2 \right) = 3(f^{k-2}(b) + a)f_{0,k-1}. \quad (5.9)$$

From Thurston's rigidity theorem (Theorem 4.4), we get that $f^{k-2}(b) + a$ and $f_{k-1,2}$ are coprime. So, s_k will divide $f_{0,k-1}$ (we can remove 3, because $f_{k-1,2}$ is monic in $\mathbb{Z}[a][b]$, and so are its irreducible factors). As s_k divides both $f_{0,k-1}$ and $f_{k-1,2}$, by Lemma 4.2 we have that s_k divides $f_{0,1}$ if k is even, and $f_{0,2}$ if k is odd.

Let $k \in \mathbb{N}$ be even. As $f_{0,1} = b - a$, we get that

$$h_{k,2} \text{ divides } \frac{g_k(a, b)}{(b - a)^{i_k}},$$

where i_k is the highest power of $(b - a)$ that divides g_k . Also,

$$\frac{g_k(a, b)}{(b - a)^{i_k}} \text{ is coprime to } f_{k-1,2}.$$

Let $k \in \mathbb{N}, k > 1, k$ odd. From Equation (5.3) and Lemma 5.2, we know that over \mathbb{Q} , the irreducible factors of $f_{0,2}$ are $h_{0,2}$ and $(b - a)$. From Equations (5.8), (5.9) and Lemma 5.4, we have $o(g_k, h_{0,2}) = 1$, for all $k \in \mathbb{N}, k > 1$. So,

$$h_{k,2} \text{ divides } \frac{g_k(a, b)}{h_{0,2} \cdot (b - a)^{i_k}},$$

where i_k is the highest power of $(b - a)$ that divides g_k . Also,

$$\frac{g_k(a, b)}{h_{0,2} \cdot (b - a)^{i_k}} \text{ is coprime to } f_{k-1,2}.$$

For $k \in \mathbb{N}, k > 1$, define

$$u_k(a, b) := \begin{cases} g_k(a, b)/(b - a)^{i_k}; & k \text{ even} \\ g_k(a, b)/(h_{0,2} \cdot (b - a)^{i_k}); & k \text{ odd} \end{cases} \quad (5.10)$$

Next, we will factor out the irreducible factors of $f_{k,1}$ from $u_k(a, b)$. But irreducible factors of $f_{k-1,1}$ has already been factored out, since $f_{k-1,1}$ divides $f_{k-1,2}$.

Hence, we need to consider the irreducible factors of

$$\frac{f_{k,1}}{f_{k-1,1}} = (f^k(a))^2 + f^k(a)f^{k-1}(a) + (f^{k-1}(a))^2 - 3a^2, \quad (5.11)$$

and their highest powers that divide $u_k(a, b)$. We denote the product of common irreducible factors of $f_{k,1}/f_{k-1,1}$ and u_k , each raised to their highest power that divides u_k , as $t_k(a, b)$. By definition of $h_{k,2}$,

$$h_{k,2}(a, b) = \frac{u_k(a, b)}{t_k(a, b)}. \quad (5.12)$$

Now, we can compute the resultant. We have, $\text{Res}(h_{k,2}, l) = h_{k,2}(a, a - i)$.

Putting $b = a - i$ in Equation (5.1), by direct computation or by using Lemma 5.1 one obtains $f^k(a) = a - i$, for odd $k \in \mathbb{N}$, and $f^k(a) = -2a$, for even $k \in \mathbb{N}$. Moreover, $h_{0,2}(a, a - i) = -i(-i + 3a) + 1 = -3ai$.

Observe that for any $k \in \mathbb{N}$,

$$\begin{aligned} \left(\frac{f_{k,1}}{f_{k-1,1}} \right) (a, a - i) &= (a - i)^2 - 2a(a - i) + 4a^2 - 3a^2 \\ &= -1. \end{aligned}$$

So, $t_k(a, a - i) = 1$, up to multiplication by a power of i (because, any irreducible factor \tilde{t}_k of t_k in $\mathbb{Z}[a, b]$ divides $f_{k,1}/f_{k-1,1}$ in $\mathbb{Z}[a, b]$. So in $\mathbb{Z}[i][a]$, $\tilde{t}_k(a, a - i)$ divides $(f_{k,1}/f_{k-1,1})(a, a - i) = -1$).

From the above discussion, we get that up to multiplication by a power of i ,

For k even,

$$\begin{aligned} \text{Res}(h_{k,2}, l) &= h_{k,2}(a, a - i) \\ &= g_k(a, a - i) && \text{(by Equation (5.10))} \\ &= 3(a - i)^2 - 3a^2 && \text{(by Equation (5.8))} \\ &= -3(2ai + 1). \end{aligned}$$

For $k > 1, k$ odd,

$$\begin{aligned} \text{Res}(h_{k,2}, l) &= h_{k,2}(a, a - i) \\ &= \frac{g_k(a, a - i)}{h_{0,2}(a, a - i)} && \text{(by Equation (5.10))} \\ &= \frac{3(4a^2) - 3a^2}{-3ai} && \text{(by Equation (5.8))} \\ &= 3ai. \end{aligned}$$

Hence, the lemma is proved. \square

Remark 5.6. Showing that $\text{Res}(h_{k,2}, l)$ divides $3(2ai + 1)$ for k even, and $3a$ for k odd, $k > 1$, is all one needs to prove the irreducibility of $h_{k,2}$ in $\mathbb{Q}[a, b]$. A proof of that statement would be much shorter. But, as we will see later, proving the equality (more precisely, proving that $\text{Res}(h_{k,2}, l)$ is not constant) allows us to prove irreducibility of $\mathcal{S}_{k,2}$ in \mathcal{M}_3 .

Corollary 5.7. *The resultant $\text{Res}(h_{k,2}, h_{1,2}) \not\equiv 0 \pmod{81}$, for any $k \in \mathbb{N}, k > 1$.*

Proof. By Lemma 5.1, we have

$$\begin{aligned} \text{Res}(h_{k,2}, h_{1,2}) &= \text{Res}(h_{k,2}, b - a + i) \cdot \text{Res}(h_{k,2}, b - a - i) \\ &= h_{k,2}(a, a - i) \cdot h_{k,2}(a, a + i). \end{aligned}$$

Complex conjugation of $h_{k,2}(a, a + i)$ is $h_{k,2}(a, a - i)$. Hence, from Lemma 5.5, upto multiplication by ± 1 , we have for k even,

$$\begin{aligned} \text{Res}(h_{k,2}, h_{1,2}) &= 3(2ai + 1) \cdot 3(-2ai + 1) \\ &= 9(4a^2 + 1), \end{aligned}$$

and for k odd, $k > 1$,

$$\text{Res}(h_{k,2}, h_{1,2}) = 9a^2.$$

None of the resultants is identically zero modulo 81 (as formal polynomials in the variable a). Hence, the corollary is proved. \square

Next, we put together all the previous lemmas and corollary of this section along with generalised Eisenstein irreducibility criterion (Theorem 4.5), to show that $h_{k,2}$ is irreducible over \mathbb{Q} for every choice of non-negative integer k .

Theorem 5.8. *For each $k \in \mathbb{Z}, k \geq 0$, the polynomial $h_{k,2}$ is irreducible in $\mathbb{Q}[a, b]$.*

Proof. From Lemmas 5.1 and 5.2, we know that $h_{0,2}, h_{1,2}$ are irreducible over \mathbb{Q} . Let $k \in \mathbb{N}, k > 1$. Putting $g = h_{k,2}, h = h_{1,2}$ in generalised Eisenstein irreducibility criterion (Theorem 4.5), from Lemmas 5.1, 5.3 and Corollary 5.7, we get that $h_{k,2}$ is irreducible in $\mathbb{Q}[a, b]$. \square

Next, we use irreducibility of $h_{k,2}$ and $h_{k,1}$ over \mathbb{Q} , to show that $h_{k,2}$ is even for every $k \geq 0$. We will need this following corollary in the proof of Theorem 5.10.

Corollary 5.9. *For each $k \in \mathbb{Z}, k \geq 0$, the polynomial $h_{k,2}$ is even polynomial.*

Proof. For $k = 0, 1$, the corollary follows from Lemmas 5.2, 5.1, respectively. Let $k \in \mathbb{N}, k > 1$. From [Pat23, Theorem 5.8], we get that $h_{l,1}$ polynomials are irreducible polynomials over \mathbb{Q} for every choice of non-negative integer l . From Theorem 5.8 above, for any $l \geq 0$, the polynomial $h_{l,2}$ is irreducible over \mathbb{Q} . Hence,

using Corollary 4.13 we get that $h_{k,2}$ is an even or odd polynomial. From Lemmas 5.1, 5.3, we get $h_{k,2}(0,0) \equiv h_{1,2}(0,0)^{N_k} \equiv 1 \pmod{3}$. So, the polynomial $h_{k,2}$ has a non-zero constant term. Hence, $h_{k,2}$ is an even polynomial for any $k \geq 0$. \square

Now, we will show that although $h_{k,2}$ might not be irreducible over \mathbb{C} , all the curves $\mathcal{S}_{k,2}$ are irreducible in \mathcal{M}_3 .

Theorem 5.10. *For each $k \in \mathbb{Z}, k \geq 0$, the curve $\mathcal{S}_{k,2}$ is irreducible.*

Proof. From Lemmas 5.1, 5.2, we know that $\mathcal{S}_{0,2}, \mathcal{S}_{1,2} \subset \mathcal{M}_3$ are irreducible.

Let $k > 1$. From Lemma 5.5, we have that $h_{k,2}$ intersects the line $l = b - a + i$ at $(i/2, -i/2)$ point, for k even, and at $(0, -i)$ point, for k odd. As $\text{Res}(h_{k,2}, b - a + i)$ is linear polynomial in a (Lemma 5.5), $h_{k,2}$ is smooth at $(i/2, -i/2)$ point, for k even, and at $(0, -i)$ point, for k odd. So, for any $k > 1$, the polynomial $h_{k,2}$ has a smooth $\mathbb{Q}[i]$ -rational point.

Assume that $h_{k,2}$ is irreducible in $\mathbb{Q}[i][a, b]$. As the polynomial $h_{k,2}$ has a smooth $\mathbb{Q}[i]$ -rational point, by Corollary 4.9, we have that $h_{k,2}$ is irreducible in $\mathbb{C}[a, b]$. Hence, the curve $\mathcal{S}_{k,2} \in \mathcal{M}_3$ is irreducible.

Next, assume that $h_{k,2}$ is reducible in $\mathbb{Q}[i][a, b]$. As $h_{k,2}$ is irreducible in $\mathbb{Q}[a, b]$, we get that $h_{k,2} = t_{k,2} \cdot \bar{t}_{k,2}$, for some irreducible polynomial $t_{k,2} \in \mathbb{Q}[i][a, b]$, and $\bar{t}_{k,2}$ is the complex conjugate of $t_{k,2}$.

Now, for $k \in \mathbb{N}, k$ even, $h_{k,2}$ passes through the point $(i/2, -i/2)$. Without loss of generality, assume that $t_{k,2}(i/2, -i/2) = 0$. By complex conjugation, we get $\bar{t}_{k,2}(-i/2, i/2) = 0$. Also, $\bar{t}_{k,2}(i/2, -i/2) \neq 0$, otherwise $h_{k,2}$ will not be smooth at $(i/2, -i/2)$. Hence, $\bar{t}_{k,2}$ is not even or odd polynomial. But $h_{k,2}$ is even polynomial, by Corollary 5.9. As $\bar{t}_{k,2}$ is irreducible, from Lemma 4.14, we get that $t_{k,2}^\circ = \bar{t}_{k,2}$, i.e. $t_{k,2}(-a, -b) = \bar{t}_{k,2}(a, b)$. So, the curves of $t_{k,2}$ and $\bar{t}_{k,2}$ in \mathbb{C}^2 merge together under the quotient map $\mathbb{C}^2 \rightarrow \mathcal{M}_3$, and it is the same as the curve of $h_{k,2}$ in \mathcal{M}_3 . Hence, if $t_{k,2}$ is irreducible over \mathbb{C} , then the curve of $h_{k,2}$ in \mathcal{M}_3 , which is $\mathcal{S}_{k,2}$, is irreducible.

So, to prove irreducibility of $\mathcal{S}_{k,2}$, it is enough to prove that $t_{k,2}$ is irreducible in $\mathbb{C}[a, b]$. Now, $t_{k,2}$ is an irreducible polynomial in $\mathbb{Q}[i][a, b]$, with a smooth $\mathbb{Q}[i]$ -rational point, namely $(i/2, -i/2)$. Hence, by Corollary 4.9, we have $t_{k,2}$ is irreducible in $\mathbb{C}[a, b]$. So, for even $k \in \mathbb{N}$, $\mathcal{S}_{k,2}$ is irreducible in \mathcal{M}_3 .

Replacing the point $(i/2, -i/2)$ with $(0, -i)$ in the last two paragraphs, every argument there follows verbatim and we get that for odd $k > 1$, the curves $\mathcal{S}_{k,2}$ are irreducible in \mathcal{M}_3 . Hence, the theorem is proved. \square

6. EXPLICIT FORM OF $h_{k,2}$ POLYNOMIALS

In this section, we deduce the explicit form of $h_{k,2}$ polynomials, for any $k \geq 0$. We have already noted the explicit forms of $h_{0,2}$ and $h_{1,2}$ polynomials, in Lemmas 5.2 and 5.1, respectively.

Proposition 6.1. *Let $k \in \mathbb{N}$. For odd k ,*

$$h_{k,2} = \frac{f_{k,2} \cdot f_{k-1,1}}{h_{0,2} \cdot f_{k-1,2} \cdot f_{k,1}}, \quad (6.1)$$

and for even k ,

$$h_{k,2} = \frac{f_{k,2} \cdot f_{k-1,1}}{f_{k-1,2} \cdot f_{k,1}}. \quad (6.2)$$

Proof. Let $k = 1$. From Equations (5.3), (5.4), (5.6) and (5.7), we get

$$h_{1,2} = \frac{f_{1,2} \cdot f_{0,1}}{h_{0,2} \cdot f_{0,2} \cdot f_{1,1}}. \quad (6.3)$$

Let $k \in \mathbb{N}, k > 1$. First, we will show that the polynomial $f_{k,2}/f_{k-1,2}$ is divisible by $f_{k,1}/f_{k-1,1}$. From Equations (5.8) and (5.11),

$$\frac{f_{k,2}}{f_{k-1,2}} = (f^k(b))^2 + f^k(b)f^{k-2}(b) + (f^{k-2}(b))^2 - 3a^2 \quad (6.4)$$

$$\frac{f_{k,1}}{f_{k-1,1}} = (f^{k-1}(b))^2 + f^{k-1}(b)f^{k-2}(b) + (f^{k-2}(b))^2 - 3a^2 \quad (6.5)$$

Subtracting Equation (6.5) from Equation (6.4), we get

$$\frac{f_{k,2}}{f_{k-1,2}} = \frac{f_{k,1}}{f_{k-1,1}} + f_{k,1} \cdot (f^k(b) + f^{k-1}(b) + f^{k-2}(b)). \quad (6.6)$$

From Equation (6.6), we get that $f_{k,1}/f_{k-1,1}$ divides $f_{k,2}/f_{k-1,2}$ in $\mathbb{Z}[a, b]$. Furthermore,

$$l_k := \frac{f_{k,2} \cdot f_{k-1,1}}{f_{k-1,2} \cdot f_{k,1}} = 1 + f_{k-1,1} \cdot (f^k(b) + f^{k-1}(b) + f^{k-2}(b)). \quad (6.7)$$

So, no factor of $f_{k-1,1}$ divides l_k . From [Pat23, Lemma 5.3 and Remark 5.6], we know that $h_{k,1}$ polynomials are irreducible over \mathbb{C} with zero constant term. As l_k has constant term 1, $h_{k,1}$ does not divide l_k . So, no irreducible factor of $f_{k,1}$ divides l_k . As $(b-a)$ divides $f_{k,1}$, from the proof of Lemma 5.5 up to the Equation (5.10), the proposition follows. \square

Remark 6.2. Let $k \in \mathbb{N}, k > 1$. Using the explicit form of $h_{k,2}$ polynomials, we can find the exact value of the resultant of $h_{k,2}$ and $b-a+i$ to be,

$$\text{Res}(h_{k,2}, b-a+i) = \begin{cases} 3(2ai+1); & k \text{ even, } k > 0, \\ -3ai; & k \text{ odd, } k > 1. \end{cases}$$

We note that introducing the explicit form of $h_{k,2}$ in the proof of irreducibility of $\mathcal{S}_{k,2}$ above, is unnecessary and does not make the proof any shorter. Therefore, we have kept it as a separate section.

7. THE UNICRITICAL CASE

Putting $a = 0$ in Equation (2.1), we get the normal form for monic, reduced unicritical cubic polynomial,

$$f(z) = z^3 + b.$$

Let $R_{k,n}$ be the polynomial in $\mathbb{Z}[b]$, whose roots are exactly the values of b for which 0 is strictly (k, n) -preperiodic under $f(z) = z^3 + b$. Putting $a = 0$ in Equation (2.2), we get that $R_{k,n}$ divides $h_{k,n}(0, b)$ in $\mathbb{Z}[b]$. In [Mil14], Milnor conjectured that (Compare Conjecture 1.5),

Conjecture 7.1. *The polynomial $R_{k,n}$ is irreducible over \mathbb{Q} , for any $k \geq 0, k \neq 1, n \geq 1$.*

In this section, we prove the irreducibility of $R_{k,2}$ over \mathbb{Q} , for any $k \geq 0, k$ even.

Theorem 7.2. *For any even $k \geq 0$, the polynomial $R_{k,2}$ is an irreducible polynomial over \mathbb{Q} .*

Proof. Case $k = 0$: From Lemma 5.2, the polynomial $h_{0,2}$ is $(b-a)(b+2a)+1$. As $h_{0,2}(0, b) = b^2 + 1$ is irreducible over \mathbb{Q} , the polynomial $R_{0,2}$ is same as $h_{0,2}(0, b)$ and it is irreducible over \mathbb{Q} .

Case $k \in \mathbb{N}, k$ even: From the proof of Theorem 5.8, the polynomial $h_{k,2}$ is 3-Eisenstein with respect to the polynomial $h_{1,2} = (b-a)^2 + 1$. From the proof of Corollary 5.7, we get that for any even $k \in \mathbb{N}$, the resultant

$$\text{Res}(h_{k,2}, h_{1,2}) = 9(4a^2 + 1).$$

For any $k \in \mathbb{N}$, $h_{k,2}$ is monic as polynomial in b over the integral domain $\mathbb{Z}[a]$. Hence, degree of $h_{k,2}$ as a polynomial in b over $\mathbb{Z}[a]$ is same as the degree of $h_{k,2}(0, b)$ as an element of $\mathbb{Z}[b]$. By Lemma 4.15 (here ϕ is the ring homomorphism from $\mathbb{Z}[a]$ to \mathbb{Z} that sends 1 to 1 and a to 0), we see that

$$\text{Res}(h_{k,2}(0, b), h_{1,2}(0, b)) = \text{Res}(h_{k,2}, h_{1,2})(0) = 9.$$

Hence, the polynomial $h_{k,2}(0, b)$ is 3-Eisenstein with respect to the polynomial, $h_{1,2}(0, b) = b^2 + 1$ (See Theorem 4.5 and Remark 4.6). So for even k , the polynomial $h_{k,2}(0, b)$ is irreducible over \mathbb{Q} . As $R_{k,2}$ divides $h_{k,2}(0, b)$, we get that $R_{k,2}$ is same as $h_{k,2}(0, b)$ and is an irreducible polynomial in $\mathbb{Q}[b]$. \square

Remark 7.3. For $k > 1, k$ odd, the resultant $\text{Res}(h_{k,2}, h_{1,2}) = 9a^2$ (from the proof of Lemma 5.7). So,

$$\text{Res}(h_{k,2}(0, b), h_{1,2}(0, b)) = \text{Res}(h_{k,2}, h_{1,2})(0) = 0.$$

This means that $b^2 + 1$ divides $h_{k,2}(0, b)$, for k odd, $k > 1$. This restricts a generalization of proof of Theorem 7.2 to the case, k odd, $k > 1$.

Remark 7.4. Theorem 7.2 partially proves Milnor's conjecture ([Mil14]) on the unicritical case. A stronger version of this theorem has been proved in [Gok20].

8. ON THE CURVES $\mathcal{S}_{k,q}$

In this section, we study the obstructions to direct generalization of our technique to study irreducibility of the curves $\mathcal{S}_{k,q}$, where $k \geq 0, q$ odd prime.

Lemma 8.1. *For any prime $q \in \mathbb{N}$, we have $h_{1,q} = (f^q(a) + 2a)/(b + 2a)$. In another form, $h_{1,q} \equiv h_{0,q} \equiv \sum_{i=0}^{q-1} (b - a)^{3^i - 1} \pmod{3}$.*

Proof. We deduce,

$$\begin{aligned} f_{1,q} &= f^{q+1}(a) - f(a) \\ &= (f^q(a) - a) ((f^q(a))^2 + af^q(a) + a^2 - 3a^2) \\ &= (f^q(a) - a)^2 (f^q(a) + 2a) \\ &= (f_{0,q})^2 (f^q(a) + 2a). \end{aligned}$$

So, $h_{1,q}$ divides $f^q(a) + 2a$. As $f^q(a) + 2a \equiv 3a \pmod{f_{0,q}}$, the polynomials $f^q(a) + 2a$ and $f_{0,q}$ are coprime.

As we obtain $h_{1,q}$ by factoring out irreducible factors of $f_{1,1}$ and $f_{0,q}$ from $f_{1,q}$, each raised to their highest power that divides $f_{1,q}$, we get that

$$h_{1,q} = \frac{f^q(a) + 2a}{(h_{1,1})^s},$$

where s is the highest power of $h_{1,1}$ that divides $f^q(a) + 2a$.

By Equation (5.4), we have $h_{1,1} = b + 2a$. Putting $b = -2a$, we see that $f^n(a) = -2a$, for any $n \in \mathbb{N}$. So, $b + 2a$ divides $f^q(a) + 2a$. We need to check if $(b + 2a)^2$ divides $f^q(a) + 2a$. As $f \equiv z^3 - a^3 + b \pmod{3}$, we have

$$f^q(a) + 2a \equiv f^q(a) - a \equiv \sum_{i=0}^{q-1} (b - a)^{3^i} \pmod{3}.$$

As $(b-a)^2$ does not divide $f^q(a) + 2a$ in modulo 3, we get that $(b+2a)^2$ does not divide $f^q(a) + 2a$ in $\mathbb{Z}[a, b]$. Hence,

$$h_{1,q} = \frac{f^q(a) + 2a}{b + 2a}.$$

Reducing this equation in modulo 3, we obtain the other form of $h_{1,q}$ mentioned in the lemma. To show $h_{1,q} \equiv h_{0,q} \pmod{3}$, observe that

$$f_{0,q} \equiv (b-a) \sum_{i=0}^{q-1} (b-a)^{3^i-1} \pmod{3}.$$

Hence, the lemma is proved. \square

Lemma 8.2. *Let $k \in \mathbb{N}$ and $q \in \mathbb{N}, q$ prime. If $h_{1,q}$ is irreducible in $\mathbb{F}_3[a, b]$, then $h_{k,q} \equiv (h_{1,q})^{N_{k,q}} \pmod{3}$, for some $N_{k,q} \in \mathbb{N}$.*

Proof. Let $k, q \in \mathbb{N}, q$ prime. Then,

$$\begin{aligned} f_{k,q} &= f^{k+q}(a) - f^k(a) \\ &\equiv \sum_{i=k}^{k+q-1} (b-a)^{3^i} \pmod{3} \\ &\equiv (b-a)^{3^k} \left(\sum_{j=0}^{q-1} (b-a)^{3^j-1} \right)^{3^k} \pmod{3} \end{aligned}$$

As $f_{k,1} \equiv (b-a)^{3^k} \pmod{3}$, we have $h_{k,q}$ divides $\left(\sum_{j=0}^{q-1} (b-a)^{3^j-1} \right)^{3^k}$ in modulo 3. As $h_{1,q} \equiv \sum_{j=0}^{q-1} (b-a)^{3^j-1} \pmod{3}$ is irreducible modulo 3, the lemma follows. \square

The next lemma shows that this method of showing irreducibility of $h_{k,q}$ in $\mathbb{Q}[a, b]$, does not extend for any prime q other than 2.

Lemma 8.3. *The polynomial $h_{1,q} \pmod{3}$ is irreducible in $\mathbb{F}_3[a, b] \iff q = 2$.*

Proof. Consider the ring homomorphism $\Phi : \mathbb{Z}[a, b] \rightarrow \mathbb{F}_3[a, b]$ that fixes 1, a and b . The polynomial $\Phi(h_{1,q}(a, b)) = \sum_{i=0}^{q-1} (b-a)^{3^i-1}$ is reducible in $\mathbb{F}_3[a, b]$ if and only if $g(x) := \sum_{i=0}^{q-1} x^{3^i-1}$ is reducible in $\mathbb{F}_3[x]$ (using a change of variables sending $(b-a)$ to x and a to a). Consider the polynomial,

$$xg(x) = \sum_{i=0}^{q-1} x^{3^i}. \quad (8.1)$$

Consider the extension \mathbb{F}_{3^q} over \mathbb{F}_3 . As the Galois group $Gal(\mathbb{F}_{3^q}/\mathbb{F}_3)$ is generated by the Frobenius element, $x \mapsto x^3$, the polynomial $xg(x)$ is the trace map from \mathbb{F}_{3^q} to \mathbb{F}_3 (See [L12], p.284, for details on trace map). Hence, any non-zero element in \mathbb{F}_{3^q} , for which the trace is 0 under the extension $\mathbb{F}_{3^q}/\mathbb{F}_3$, will be a root of $g(x)$.

Trace being an additive group homomorphism (See [L12, Theorem 5.1]), there are non-zero elements of \mathbb{F}_{3^q} , with trace 0 (because order of the field \mathbb{F}_{3^q} is 3^q , which is greater than 3 (= order of \mathbb{F}_3), for $q > 1$). Hence, the polynomial $g(x)$, considered as an element of $\mathbb{F}_3[x]$, has roots in the extension \mathbb{F}_{3^q} . This means if $g(x)$ is irreducible over \mathbb{F}_3 , then degree of $g(x)$ divides the degree of the extension $\mathbb{F}_{3^q}/\mathbb{F}_3$, which is q . As q is prime, this means $q = \text{degree of } g(x) = 3^{q-1} - 1$ (from Equation (8.1)). A simple calculation shows that $\text{deg}(g(x)) = 3^{q-1} - 1$ is equal to $q \iff q = 2$. Hence, the lemma is proved. \square

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