# Good reduction of Fano threefolds and sextic surfaces

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**Abstract.** We investigate versions of the Shafarevich conjecture, as proved for curves and Abelian varieties by Faltings, for other classes of varieties. We first obtain analogues for certain Fano threefolds. We use these results to prove the Shafarevich conjecture for smooth sextic surfaces, which appears to be the first non-trivial result in the literature on the arithmetic of such surfaces. Moreover, we exhibit certain moduli stacks of Fano varieties which are not hyperbolic, which allows us to show that the analogue of the Shafarevich conjecture does not always hold for Fano varieties. Our results also provide new examples for which the conjectures of Campana and Lang–Vojta hold.

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# 1. Introduction

A theorem of Faltings [29] (formerly the Shafarevich conjecture), states that given a number field K, a finite set S of finite places of K and an integer g, there are only finitely many isomorphism classes of g-dimensional Abelian varieties over K with good reduction outside S. Analogues of this result have been obtained for several other classes of varieties, such as cubic fourfolds and very polarised hyperkähler varieties of bounded degree [4], K3 surfaces [63], del Pezzo surfaces [60], flag varieties [47], certain surfaces of general type [45], and complete intersections of Hodge level at most one [46].

In this paper we study analogues of the Shafarevich conjecture for Fano threefolds. To state our results, we recall some important geometric invariants. Let Xbe a Fano threefold over a field k. We define the (geometric) *Picard number* of Xto be the rank of the Picard group of  $X_{\bar{k}}$ . We define its (geometric) *index* r(X) to be the largest  $r \in \mathbb{N}$  such that  $-K_X$  is divisible by r in Pic  $X_{\bar{k}}$ , and its (geometric) *degree* to be  $(-K_X)^3/r(X)^3$ . These invariants naturally arise in the classification of Fano threefolds (see [44] for details). We recall in Subsection 3.1 the cases of the classification relevant to us in this paper. Our first result is the following.

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**Theorem 1.1.** Let *K* be a number field and let *S* be a finite set of finite places of *K*. Then the set of *K*-isomorphism classes of Fano threefolds of Picard number 1 and index at least 2 over *K* with good reduction outside of *S* is finite.

Here by good reduction, we mean *good reduction as a Fano variety* (see Definition 4.3). Certain cases of Theorem 1.1 are already known, and follow from our work [46] on complete intersections (*e.g.*, cubic threefolds and intersections of two quadrics in  $\mathbb{P}^5$ ). New cases include hypersurfaces of degree 4 and 6 in the weighted projective spaces  $\mathbb{P}(2, 1, 1, 1, 1)$  and  $\mathbb{P}(3, 2, 1, 1, 1)$ , respectively.

We also address some Fano threefolds of Picard number 1 and index 1. Recall that for such a threefold X, its *genus* is defined to be the integer  $(-K_X)^3/2+1$ . Our work on complete intersections [46] proves versions of the Shafarevich conjecture for such Fano threefolds of genus 4 and 5. Our next result handles the case of genus 2.

**Theorem 1.2.** Let *K* be a number field and let *S* be a finite set of finite places of *K*. Then the set of *K*-isomorphism classes of Fano threefolds of Picard number 1, index 1 and genus 2 over *K* with good reduction outside of *S* is finite.

Whilst these Fano threefolds are interesting in their own right, their primary interest for us stems from their relationship to sextic surfaces. Namely, such Fano threefolds are double covers of  $\mathbb{P}^3$  ramified along a smooth sextic surface, and moreover every smooth sextic surface arises this way (see Remark 4.13). Over an algebraically closed field any such sextic is uniquely determined by the associated threefold; however this may not be the case over non-algebraically closed fields. Nevertheless, by exploiting this relationship we are able to use Theorem 1.2 to establish the following.

**Theorem 1.3.** Let K be a number field and let S be a finite set of finite places of K. Then the set of K-isomorphism classes of smooth sextic surfaces in  $\mathbb{P}^2_K$  with good reduction outside of S is finite.

Here by good reduction, we mean *good reduction as a sextic surface* (see Subsection 4.2 for precise definitions). This result proves a special case of the Shafarevich conjecture for smooth complete intersections posed by the authors in [46, Conjecture 1.4].

To the authors' knowledge, Theorem 1.3 is the first non-trivial result in the literature on the arithmetic of smooth sextic surfaces. Note that these surfaces do not have K3-type (having middle Hodge numbers 10, 86, and 10), hence the usual Kuga-Satake construction [24,53] cannot be applied here, as used to prove the Shafarevich conjecture for K3 surfaces [4,63], say.

There is now a growing body of evidence which suggests that the analogue of the Shafarevich conjecture could always hold for Fano varieties. It turns out however that this is not the case, as our next result shows.

**Theorem 1.4.** There exists a finite set of finite places S of  $\mathbb{Q}$  such that the set of  $\overline{\mathbb{Q}}$ -isomorphism classes of Fano threefolds of Picard number 2 over  $\mathbb{Q}$  with good reduction outside S is infinite.

We prove Theorem 1.4 by constructing an explicit family of counter examples (see Theorem 5.3). We briefly explain this construction now, as it is very simple. Let X be a smooth intersection of two quadrics in  $\mathbb{P}^5_{\mathbb{Q}}$  which contains infinitely many lines. The blow-up of X at a line is a Fano threefold of Picard number 2. However, the lines on X are parametrised by an Abelian surface, namely the intermediate Jacobian of X. Under our assumptions the intermediate Jacobian has infinitely many integral points, which implies that we obtain infinitely many Fano threefolds with good reduction outside some finite set of primes. We then show that we obtain infinitely many  $\overline{\mathbb{Q}}$ -isomorphism classes using the finiteness of Aut(X).

Note that this method breaks down for del Pezzo surfaces, where a version of the Shafarevich conjecture was shown to hold by Scholl [60]. Here to obtain non-trivial moduli one must blow-up at least 5 points of  $\mathbb{P}^2$  in *general position*. However, the configuration space of points in general position turns out to be hyperbolic and to have only finitely many integral points. This phenomenon does not occur for intersections of two quadrics as no general position hypotheses are required: one is allowed to blow-up *any* line.

Our finiteness results (Theorems 1.1, 1.2 and 1.3) may be interpreted as special cases of the *Lang-Vojta conjecture* [1,13]. To explain this relationship in the setting of Faltings's work [29] (*cf.* [42, Example F.5.3.7]), let  $\mathcal{A}_g$  be the stack of *g*dimensional principally polarised Abelian schemes over  $\mathbb{Z}$ . Since the Siegel upper half-space  $\mathbb{H}_g$  is a bounded domain and  $\mathcal{A}_{g,\mathbb{C}}^{an} = [\operatorname{Sp}_{2g}(\mathbb{Z}) \setminus \mathbb{H}_g]$ , all holomorphic maps  $\mathbb{C} \to \mathcal{A}_{g,\mathbb{C}}^{an}$  are constant, *i.e.*  $\mathcal{A}_{g,\mathbb{C}}$  is Brody hyperbolic. In particular, in the light of the Lang-Vojta conjecture, one expects that  $\mathcal{A}_g$  has only finitely many (isomorphism classes of) integral points, as confirmed by the results of Faltings. Many naturally occuring moduli stacks are hyperbolic (*e.g.*, the stack of canonically polarised varieties [69]), and ignoring stacky issues, the Lang-Vojta conjecture implies that such hyperbolic moduli stacks should have only finitely many (isomorphism classes of) integral points. Our finiteness results fit into this general framework (see Remark 4.9).

Theorem 1.4 shows that some components of the stack of Fano varieties have infinitely many integral points. The threefolds we use to prove Theorem 1.4 appear as [44, No. 19 of Table 12.3] in the classification of Fano threefolds, and have index 1, degree 26 and third Betti number 4.

However our final result (Theorem 1.5) shows that, nevertheless, the Diophantine properties of this moduli stack are in accordance with Campana's generalised version of the Lang-Vojta conjecture [12, Conjecture 13.23]. We will content ourselves here with an imprecise version of our result, and refer the reader to Theorem 5.8 for a more precise statement, and to Remark 5.9 for an explanation of the relationship to Campana's conjecture.

**Theorem 1.5.** Let K be a number field and let S be a finite set of finite places of K. Then the set of  $\mathcal{O}_K[S^{-1}]$ -points in the moduli stack of Fano threefolds of Picard number 2, index 1, degree 26 and third Betti number 4 is not Zariski-dense.

We now give an outline of the ingredients of the proofs of Theorems 1.1 and 1.2. For certain r and d, it turns out that all Fano threefolds with Picard number 1, index r and degree d satisfy the infinitesimal Torelli property. Moreover, for certain r and d, it is known that such Fano threefolds are non-rational. In these cases, a classical result of Matsusaka and Mumford [51] can be used to show that the stack parametrizing such Fano threefolds is a smooth finite type separated Deligne-Mumford stack over  $\mathbb{C}$ . By separatedness, the main difficulty becomes showing that the set of "periods" (i.e. integral polarised Hodge structures) associated to all Fano threefolds over K with good reduction outside S is finite. To do so, we use the theory of the intermediate Jacobian. This is usually constructed via transcendental methods as a complex torus, however, for Fano threefolds, a recent result of Achter-Casalaina-Martin-Vial [3] shows that it descends to subfields of  $\mathbb{C}$ . This theory, once properly performed and combined with Faltings's finiteness results for Abelian varieties [29], yields the proof of Theorem 1.1 and Theorem 1.2 in most cases. This method is based on our proof of the Shafarevich conjecture for smooth complete intersections of Hodge level 1 [46]. The main remaining difficult case is that of index 2 and degree 5. Here the stack of Fano threefolds is neither separated nor Deligne-Mumford. Nevertheless, such Fano threefolds are infinitesimally rigid (Corollary 3.13). This property allows us to prove the result by appealing to finiteness results on cohomology sets due to Gille-Moret-Bailly [34]. This is similar to the case of flag varieties treated in [47].

With current tools, these methods do not yield new results for other Fano threefolds of Picard number 1 and index 1. The issue is that either one does not know an infinitesimal Torelli theorem, or the moduli is non-separated but non-discrete. For example, Fano threefolds of Picard number 1, index 1 and genus 12 can have positive-dimensional automorphism groups [44, Theorem 5.2.13], so their moduli is non-separated. Moreover, their moduli is 6-dimensional [44, Theorem 5.2.11] and they have trivial intermediate Jacobian, so the infinitesimal Torelli theorem clearly does not hold here. We therefore finish with the following question.

**Question 1.6.** Let K be a number field and let S be a finite set of finite places of K. Is the set of K-isomorphism classes of Fano threefolds over K of Picard number 1 with good reduction outside of S finite?

#### **Outline of the paper**

In Section 2 we study the general properties of Fano varieties in families, in particular their invariants and moduli. In Section 3 we specialise to the case of Fano threefolds of Picard number 1, where we study finer properties such as separatedness of the moduli stacks and Torelli-type problems. In Section 4 we use these geometric results to deduce our main theorems (Theorems 1.1, 1.2 and 1.3). Finally in Section 5 we construct the counter examples necessary for Theorem 1.4, and prove Theorem 1.5.

**Convention 1.7.** For a field k, we let  $k \to \overline{k}$  be an algebraic closure.

A Dedekind scheme is an integral Noetherian normal one-dimensional scheme.

For a number field K, we denote by  $\mathcal{O}_K$  its ring of integers. If S is a finite set of finite places of K, we let  $\mathcal{O}_K[S^{-1}]$  denote the localization of  $\mathcal{O}_K$  at S.

An *arithmetic scheme* is an integral regular finite type flat scheme over  $\mathbb{Z}$ . Note that if *B* is a one-dimensional arithmetic scheme, then there exist a number field *K* and a finite set of finite places *S* of *K* such that  $B \cong \text{Spec } \mathcal{O}_K[S^{-1}]$ .

If *B* is a scheme and  $N \neq 0$  an integer, we write  $B_{\mathbb{Z}[1/N]}$  for  $B \times_{\text{Spec }\mathbb{Z}}$ Spec  $\mathbb{Z}[1/N]$ . For  $b \in B$ , we let  $\kappa(b)$  denote the residue field of *b*. We say that an integer *N* is invertible on *B* if, for all *b* in *B*, the integer *N* is invertible in  $\kappa(b)$ .

A variety over a field k is a finite type k-scheme. If X is a variety over k, we let  $\Theta_{X/k}$  (or simply  $\Theta_X$ ) denote the tangent sheaf of X over k.

For a Noetherian scheme X, we denote by  $X^{(1)}$  its set of codimension 1 points.

For a tuple  $(a_0, \ldots, a_n)$  of integers and a scheme *B*, we denote by  $\mathbb{P}_B(a_0, \ldots, a_n)$  the corresponding weighted projective space over *B*.

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## 2. Families of Fano varieties

In this section we study the geometry of Fano varieties and their moduli.

**Definition 2.1.** Let *k* be a field. A *Fano variety over k* is a smooth proper geometrically integral variety over *k* with ample anticanonical bundle.

Let *B* be a scheme. A *Fano scheme over B* is a smooth proper morphism  $X \rightarrow B$  of schemes whose fibres are Fano varieties. A Fano *n*-fold over *B* is a Fano scheme of relative dimension *n*.

## 2.1. The stack of Fano varieties

Concerning algebraic stacks, we will use the conventions of the *Stacks project*; see [65, Tag 026N].

We first discuss the moduli of polarised varieties. As is written in [20, Section 3], the correct approach to use when doing moduli of algebraic varieties is to use families of varieties where the total space is an algebraic space. However, when studying families of polarised varieties, the use of algebraic spaces can be avoided. Indeed, if *B* is a scheme and  $X \rightarrow B$  is a smooth proper morphism of algebraic spaces which admits a relatively ample line bundle, then X is a scheme.

Let POL be the fibred category (in groupoids over  $(Sch)_{fppf}$ ) with objects triples  $(U, X \rightarrow U, L)$ , where U is a scheme,  $X \rightarrow U$  is a flat proper locally finitely presented morphism of schemes and L is a relatively ample line bundle on X. A morphism from  $(U, X \rightarrow U, L)$  to  $(V, Y \rightarrow V, M)$  in POL is given by a triple (f, g, h), where  $f : U \rightarrow V$  is a morphism of schemes,  $g : X \rightarrow Y \times_V U$  is an isomorphism of U-schemes and h is an isomorphism from the invertible sheaf L on X to the pull-back of M (cf. [66, Section 4]).

# **Lemma 2.2.** The fibred category POL is an algebraic stack, locally of finite type over $\mathbb{Z}$ , whose diagonal is affine and of finite type.

*Proof.* As is mentioned in [66, Remark 4.3], one can use the theory of Hilbert schemes to give a smooth presentation of POL. Alternatively, one can verify Artin's axioms for a stack to be limit preserving and algebraic (see [5], [65, Tag 07XJ] and [65, Tag 07Y3]). This is well explained in the proof of [66, Proposition 4.2] (see also [38, Theorem 8.1]). Finally, the diagonal is shown to be affine and of finite type in [67, Subsection 2.1].

Let FANO be the fibred category with objects Fano schemes  $f : X \rightarrow B$ (Definition 2.1), and morphisms given by Cartesian diagrams. The fibred category FANO is a stack (in groupoids over (Sch)<sub>fppf</sub>); it is the *stack of Fano varieties*.

There is a natural morphism of stacks FANO  $\rightarrow$  POL which associates to a Fano scheme  $X \rightarrow S$  the triple  $(S, X \rightarrow S, \omega_{X/S}^{-1})$ . We emphasise that this morphism is not fully faithful. Indeed, if  $X \rightarrow S$  is a Fano scheme, then the polarised variety  $(S, X \rightarrow S, \omega_{X/S}^{-1})$  has more automorphisms than the Fano scheme  $X \rightarrow S$ (given by the identity on  $X \rightarrow S$  and scalar multiplication of the line bundle  $\omega_{X/S}^{-1}$ ).

We will say that a morphism of algebraic stacks  $X \to Y$  is quasi-affine if it is representable by schemes and, for all schemes  $S \to Y$ , the morphism  $X \times_Y S \to S$ is a quasi-affine morphism of schemes.

#### **Lemma 2.3.** The natural morphism FANO $\rightarrow$ POL is quasi-affine.

*Proof.* Let POL-FANO be the substack of POL whose objects are triples  $(S, f : X \to S, L)$  in POL such that f is smooth and  $\omega_{X/S}^{-1}$  is relatively ample. As smoothness is an open condition and relative ampleness of a line bundle is an open condition, it follows that POL-FANO is an open substack of POL.

Note that FANO  $\rightarrow$  POL factors via a morphism FANO  $\rightarrow$  POL-FANO. Therefore, as the composition of an affine morphism with an open immersion is quasiaffine, to prove the lemma, it suffices to show that FANO  $\rightarrow$  POL-FANO is an affine morphism.

Let *S* be a scheme and let  $S \to \text{POL-FANO}$  be a morphism. Let  $(S, f : X \to S, L')$  be the corresponding object of POL-FANO. Write  $L := \omega_{X/S}^{-1}$ . For all *S*-schemes *T*, the *T*-points of the scheme FANO×<sub>FANO-POL</sub> *S* are canonically the *T*-points of the *S*-scheme Isom<sub> $\mathcal{O}_X/S$ </sub>(*L*,*L'*). Here we let Hom<sub> $\mathcal{O}_X/S$ </sub>(*L*,*L'*) be as in [39, Theorem D], and denote by Isom<sub> $\mathcal{O}_X/S$ </sub>(*L*,*L'*) the subfunctor of Hom<sub> $\mathcal{O}_X/S$ </sub>(*L*,*L'*)

parametrising isomorphisms from L to L'. To conclude the proof, it suffices to show that  $Isom_{\mathcal{O}_X/S}(L, L')$  is affine.

To do so, we note that the following diagram

is Cartesian. Here the bottom horizontal arrow is given by composition, the left vertical arrow sends an isomorphism  $\phi$  to  $(\phi, \phi^{-1})$ , and the right vertical arrow is the identity section. Since the identity section is a closed immersion, we conclude that

$$\operatorname{Isom}_{\mathcal{O}_X/S}(L,L') \to \operatorname{Hom}_{\mathcal{O}_X/S}(L,L') \times_S \operatorname{Hom}_{\mathcal{O}_X/S}(L',L)$$

is a closed immersion. As  $\operatorname{Hom}_{\mathcal{O}_X/S}(L, L')$  and  $\operatorname{Hom}_{\mathcal{O}_X/S}(L', L)$  are affine [39, Theorem D], it follows that  $\operatorname{Isom}_{\mathcal{O}_X/S}(L, L')$  is affine, as required.

**Lemma 2.4.** The stack FANO is an algebraic stack, locally of finite type over  $\mathbb{Z}$ , whose diagonal is affine and of finite type.

*Proof.* By Lemma 2.3, the natural morphism of stacks FANO  $\rightarrow$  POL is quasiaffine. As the diagonal of a quasi-affine morphism of schemes is a closed immersion, the result follows from Lemma 2.2.

**Lemma 2.5.** The algebraic stack  $FANO_{\mathbb{Q}}$  is smooth over  $\mathbb{Q}$ .

*Proof.* Fano varieties are unobstructed in characteristic zero; see [55] or [59]. In particular, the stack  $FANO_{\mathbb{Q}}$  is formally smooth over  $\mathbb{Q}$ . As  $FANO_{\mathbb{Q}}$  is locally of finite type over  $\mathbb{Q}$  by Lemma 2.4, the lemma follows.

**Corollary 2.6.** Let B be a scheme. Let  $X \to B$  and  $Y \to B$  be Fano schemes. Then the scheme  $\text{Isom}_B(X, Y)$  is affine and of finite type over B.

*Proof.* The diagonal of FANO is affine and of finite type (Lemma 2.4).  $\Box$ 

## 2.2. Invariants of Fano varieties in families

The aim of this section is to show that the invariants of Fano varieties are constant in a family, under suitable assumptions.

Recall that, for a Fano variety X over a field k, its Picard group Pic(X) is finitely generated [64, Theorem 1.1].

**Definition 2.7 (Invariants).** Let X be a Fano n-fold over an algebraically closed field k. Then:

- The *Picard number* of *X* is defined to be  $\rho(X) = \operatorname{rank}_{\mathbb{Z}} \operatorname{Pic} X$ ;
- The *index* of *X* is defined to be

$$\mathbf{r}(X) = \max\{m \in \mathbb{N} : -K_X/m \in \operatorname{Pic} X\};\$$

- A fundamental divisor for X is a divisor  $H_X$  on X for which  $r(X)H_X = -K_X$ ;
- The *degree* of X is defined to be  $d(X) = (-K_X)^n / r(X)^n = H_X^n$ .

If X is a Fano variety over an arbitrary field k, we define  $\rho(X)$  (respectively r(X), d(X)) to be  $\rho(X_{\bar{k}})$  (respectively  $r(X_{\bar{k}}), d(X_{\bar{k}})$ ).

**Definition 2.8.** A Fano scheme  $X \to B$  has Picard number  $\rho$  (respectively index r, degree d) if all its geometric fibres have Picard number  $\rho$  (respectively index r, degree d).

A Fano variety over a field k is called *split* if the natural map  $\text{Pic } X \rightarrow \text{Pic } X_{\bar{k}}$  is an isomorphism.

**Lemma 2.9.** Let  $n \in \mathbb{N}$  and let B be the spectrum of a regular local Noetherian ring with generic point  $\eta$  and closed point b. Assume that char  $\kappa(\eta) = 0$  and that either char  $\kappa(b) = 0$  or char  $\kappa(b) > n$ . Let  $f : X \to B$  be a Fano n-fold such that  $X_{\eta}$  and  $X_{b}$  are both split. Then the natural maps

$$\operatorname{Pic} X \to \operatorname{Pic} X_{\eta}, \quad \operatorname{Pic} X \to \operatorname{Pic} X_{b}$$

$$(2.1)$$

are isomorphisms.

*Proof.* Note that, as X is smooth over a regular scheme, the scheme X is regular. Hence the fact that the first map is an isomorphism follows from [40, Lemma 3.1.1]. For the second map, we may pass to a completion and assume that B is complete. Indeed, as  $X_{\eta}$  and  $X_b$  are both split, the Picard groups are left unchanged. By our assumptions on the residue characteristics of B, we can use Kodaira vanishing theorem (see [25] or [28, Corollary 5.2]) to find that  $H^1(X_b, \mathcal{O}_{X_b}) = H^2(X_b, \mathcal{O}_{X_b}) = 0$ . Thus the Picard scheme is formally étale [37, Corollary 6.1], which proves the result.

**Proposition 2.10.** Let  $n \in \mathbb{N}$  and let *B* be a connected scheme with all generic points of characteristic 0 and all other points either of characteristic 0 or characteristic greater than *n*. Let  $f : X \to B$  be a Fano *n*-fold. Then the Picard number, the index, and the degree are constant on the fibres of f.

*Proof.* To prove the result, we may assume that B is the spectrum of a regular local ring with generic point  $\eta$  and closed point b. We may also assume that  $X_{\eta}$  and  $X_{b}$  are both split. We need only show that the invariants over the generic point  $\eta$  and the closed point b agree.

First, consider the relative anticanonical bundle  $\omega_{X/B}^{-1}$ . This induces the anticanonical bundle on each fibre. This observation, together with Lemma 2.9, implies that the Picard number and the index are constant. For the degree, it suffices to note that  $-K_{X_c}^n$  is constant as f is flat and proper.

**Remark 2.11.** Note that in the statement of Proposition 2.10 it is crucial that we defined the invariants geometrically. Consider the quadric surface

$$x_0^2 + x_1^2 = x_2 x_3 \quad \subset \mathbb{P}^3_{\mathbb{Z}}.$$

Here the generic fibre has Picard group  $\mathbb{Z}$ , whereas the fibre over any prime which is 1 mod 4 has Picard group  $\mathbb{Z}^2$ . Similarly, consider the conic

$$x_0^2 + x_1^2 + x_2^2 = 0 \quad \subset \mathbb{P}^2_{\mathbb{Z}}$$

The anticanonical divisor on the generic fibre is not divisible, but modulo all odd primes the anticanonical divisor becomes divisible by 2.

**Remark 2.12.** It is quite possible that versions of Proposition 2.10 hold in greater generality (*i.e.* without the restrictive hypothesis on the characteristics), and can be deduced using the "Bloch-Srinivas method" (see [7] or [71, Subsection 10.2]), and the rational chain connectedness of Fano varieties [11,48]. We do not explore these further in this paper, as our more elementary result will be sufficient for our purposes.

## 3. Fano threefolds

## 3.1. The classification of Fano threefolds

We will frequently use the classification of Fano threefolds X with Picard number 1 over an algebraically closed field of arbitrary characteristic. There are precisely 17 families; see [44, Table 12.2], [52] and [64]. These are classified according to their index and degree (Definition 2.8). In index 1 however, it is customary to work instead with the genus g(X) = d(X)/2 + 1. We summarise now the cases which will be relevant in this paper.

Let *X* be a Fano threefold over an algebraically closed field *k* with  $\rho(X) = 1$ . Then:

- If r(X) = 4, then  $X \cong \mathbb{P}^3_k$ ;
- If r(X) = 3, then X is isomorphic to a smooth quadric in  $\mathbb{P}_{k}^{4}$ ;
- If r(X) = 2, then  $1 \le d(X) \le 5$ . We have the following possibilities:
  - d(X) = 1: Hypersurface of degree 6 in  $\mathbb{P}_k(3, 2, 1, 1, 1)$ ;
  - d(X) = 2: Hypersurface of degree 4 in  $\mathbb{P}_k(2, 1, 1, 1, 1)$ ;
  - d(X) = 3 : Cubic threefold;
  - d(X) = 4: Complete intersection of two quadrics in  $\mathbb{P}_k^5$ ;

d(X) = 5: A section of the Grassmannian  $Gr(2, 5) \subset \mathbb{P}^9_k$  by a linear subspace of codimension 3;

• If r(X) = 1, then  $1 \le g(X) \le 12$  and  $g(X) \ne 11$ . We will be interested in the following special cases:

g(X) = 2: Hypersurface of degree 6 in  $\mathbb{P}_k(3, 1, 1, 1, 1)$ ; g(X) = 3: Quartic threefold, or a double cover of a smooth quadric  $Q \subset \mathbb{P}_{k}^{4}$ 

ramified along a divisor of degree 8 in Q;

g(X) = 4: Complete intersection of a quadric and a cubic in  $\mathbb{P}_k^5$ ;

g(X) = 5: Complete intersection of three quadrics in  $\mathbb{P}_k^6$ .

**Remark 3.1.** Here it is crucial that we are working over an algebraically closed field. A non-split Fano threefold X of Picard number 1 over an arbitrary field k may not be embeddable into a projective space in the above form, as the fundamental divisor of  $X_{\bar{k}}$  may not be defined over k when  $r(X) \ge 2$  (consider a non-split Brauer-Severi threefold).

## 3.2. The stack of Fano threefolds with Picard number one

We will use the following result of Shepherd-Barron [64] (that itself is an application of Ekedahl's work [27]) to prove a stronger version of Proposition 2.10 for Fano threefolds.

**Lemma 3.2.** Let X be a Fano threefold over a field k. Then  $H^1(X, \mathcal{O}_X) = H^2(X, \mathcal{O}_X) = 0$ .

*Proof.* This follows from [64, Corollary 1.5] (*cf.* [52, Corollary 2]).

**Lemma 3.3.** Let  $X \to B$  be a Fano threefold over a connected scheme B. Then the Picard number, the index, and the degree are constant in the fibres of  $X \to B$ .

*Proof.* Use Lemma 3.2 and the arguments in the proofs of Lemma 2.9 and Proposition 2.10.  $\Box$ 

Let  $\mathcal{F}$  be the substack of FANO whose objects are Fano threefolds with Picard number 1 (Definition 2.8).

**Lemma 3.4.** The stack  $\mathcal{F}$  is an algebraic stack of finite type over  $\mathbb{Z}$ , with affine and finite type diagonal. The natural morphism  $\mathcal{F} \to \text{FANO}$  is a representable open and closed immersion, and the stack  $\mathcal{F}_{\mathbb{Q}}$  is smooth.

*Proof.* By Lemma 3.3, the forgetful morphism  $\mathcal{F} \to FANO$  is a representable open and closed immersion. Therefore, it follows from Lemma 2.4 that  $\mathcal{F}$  is an algebraic stack, locally of finite type over  $\mathbb{Z}$ , with affine and finite type diagonal.

The stack  $\mathcal{F}$  is of finite type by the classification of Fano threefolds with geometric Picard number one (Subsection 3.1) and finiteness properties of Hilbert schemes.

Finally, the smoothness of  $\mathcal{F}_{\mathbb{Q}}$  follows from the smoothness of FANO<sub>Q</sub> (Lemma 2.5) and the fact that  $\mathcal{F}_{\mathbb{Q}} \to \text{FANO}_{\mathbb{Q}}$  is an open immersion. This concludes the proof of the lemma.

We now introduce certain substacks of  $\mathcal{F}$ . Let  $r, d \in \mathbb{N}$  and let  $\mathcal{F}_{r,d}$  be the substack of  $\mathcal{F}$  with objects Fano threefolds  $f : X \to B$  whose fibres have index r and degree d. The stack  $\mathcal{F}_{r,d}$  is non-empty if and only if r and d take the values arising in the classification (Subsection 3.1).

**Lemma 3.5.** The stack  $\mathcal{F}_{r,d}$  is an algebraic stack of finite type over  $\mathbb{Z}$  with affine and finite type diagonal, and the natural representable morphism  $\mathcal{F}_{r,d} \to \mathcal{F}$  of stacks is an open and closed immersion. The substacks  $\mathcal{F}_{r,d,\mathbb{Q}}$  of the stack  $\mathcal{F}_{\mathbb{Q}}$  are smooth over  $\mathbb{Q}$ .

*Proof.* We find from Lemma 3.3 that the forgetful morphism  $\mathcal{F}_{r,d} \to \mathcal{F}$  is representable by an open and closed immersion, so that the lemma follows from Lemma 3.4.

**Remark 3.6.** The properties of the stacks  $\mathcal{F}_{r,d}$  vary with r and d. For example, the stack  $\mathcal{F}_{2,3}$  is isomorphic to the stack  $\mathcal{C}_{(3;3)}$  of smooth cubic threefolds, and  $\mathcal{F}_{2,4}$  is isomorphic to the stack of three-dimensional smooth intersections of two quadrics  $\mathcal{C}_{(2,2;3)}$  (see [6] for precise definitions of these stacks). By [6, Theorem 1.6] the algebraic stack  $\mathcal{F}_{2,3}$  is Deligne-Mumford over  $\mathbb{Z}$ , whereas  $\mathcal{F}_{2,4}$  is only Deligne-Mumford over  $\mathbb{Z}[1/2]$ . Moreover, there are r and d such that  $\mathcal{F}_{r,d}$  is not Deligne-Mumford even over  $\mathbb{Q}$ , e.g., the stack  $\mathcal{F}_{4,1} = B(PGL_4)$  of Brauer-Severi threefolds.

## 3.3. Separatedness of the stack of Fano threefolds

We now study the separatedness of the stack of certain Fano threefolds over some dense open of Spec  $\mathbb{Z}$ , and deduce consequences for finiteness of Isom-schemes and uniqueness of good models. Note that some restriction on the index and degree are required here. For example, the stack  $\mathcal{F}_{4,1}$  of Brauer-Severi threefolds is not separated. We will work with the following collection of indices and degrees:

 $\mathscr{S}_{ep} = \{(1, 2), (1, 4), (1, 6), (1, 8), (1, 14), (2, 1), (2, 2), (2, 3), (2, 4)\}.$  (3.1)

**Lemma 3.7 (Separatedness).** There exists an integer  $N \ge 1$  such that for all  $(r, d) \in \mathscr{S}_{ep}$  the algebraic stack  $\mathcal{F}_{r,d,\mathbb{Z}[1/N]}$  is separated over  $\mathbb{Z}[1/N]$ .

*Proof.* Write  $\mathcal{M} = \mathcal{F}_{r,d}$ , and note that  $\mathcal{M}$  is a finite type algebraic stack over  $\mathbb{Z}$  (Lemma 3.5). We claim that  $\mathcal{M}_{\mathbb{Q}}$  is separated over  $\mathbb{Q}$ . Benoist's results on the separatedness of the stack of smooth complete intersections [6] imply that  $\mathcal{M}_{\mathbb{Q}}$  is separated if  $(r, d) \in \{(1, 6), (1, 8), (2, 3), (2, 4)\}$ . In the remaining cases, the Fano threefolds classified by  $\mathcal{M}_{\mathbb{Q}}$  are non-rational [44, Table 12.2] (see [44, Theorem 9.1.6] for more precise references). In particular, the stack  $\mathcal{M}_{\mathbb{Q}}$  is also separated over  $\mathbb{Q}$  by [6, Corollary 1.3] (this being an application of [51]). As separated ness spreads out [56, Proposition B.3.(xvii)], the result follows.

We use separatedness to deduce the following:

**Lemma 3.8 (Finite Isom-schemes).** There exists an integer  $N \ge 1$  such that for all schemes B with N invertible on B, for all  $(r, d) \in \mathscr{S}_{ep}$ , and for all  $X, Y \in \mathcal{F}_{r,d}(B)$ , the morphism  $\operatorname{Isom}_B(X, Y) \to B$  is finite.

*Proof.* Write  $\mathcal{M} = \mathcal{F}_{r,d}$ , and let  $N \ge 1$  be such that  $\mathcal{M}_{\mathbb{Z}[1/N]}$  is separated over  $\mathbb{Z}[1/N]$ . Such an integer N exists by Lemma 3.7.

Let *B* be a scheme such that *N* is invertible on *B*. Let *X* and *Y* be objects of  $\mathcal{M}(B)$ . Since  $\mathcal{M}_B$  is separated, its diagonal is proper [49, Definition 7.6]. In particular, as  $\operatorname{Isom}_B(X, Y) \to B$  is obtained by base-change from the diagonal of  $\mathcal{M}_B$ , we see that  $\operatorname{Isom}_B(X, Y) \to B$  is proper. By Corollary 2.6, the morphism of schemes  $\operatorname{Isom}_B(X, Y) \to B$  is affine. We conclude that  $\operatorname{Isom}_B(X, Y) \to B$  is finite [50, Lemma 3.3.17].

**Lemma 3.9 (Good models are unique).** There exists an integer  $N \ge 1$  such that for all integral regular Noetherian schemes B with function field K and N invertible on B, for all  $(r, d) \in \mathcal{S}_{ep}$ , and for all X and Y in  $\mathcal{F}_{r,d}(B)$ , any isomorphism  $X_K \to Y_K$  over K extends uniquely to an isomorphism  $X \to Y$  over B.

*Proof.* Let *N* be as in Lemma 3.8 and let *B* be an integral regular Noetherian scheme with function field *K* on which *N* is invertible. Let *X* and *Y* be objects of  $\mathcal{F}_{r,d}(B)$  and suppose that  $X_K$  and  $Y_K$  are isomorphic. Then the scheme  $I := \text{Isom}_B(X, Y)$  is finite over *B* and has a *K*-point. Thus, by Zariski's main theorem (or more generally [33, Proposition 6.2]), the scheme *I* has a unique *B*-point extending the given *K*-point. This concludes the proof.

#### 3.4. Fano threefolds of index 2 and degree 5

In Subsection 3.3, we excluded Fano threefolds with Picard number 1, index 2 and degree 5 (*i.e.*  $(2, 5) \notin \mathscr{S}_{ep}$ ). The aim of this section is to prove the unicity of such Fano threefolds, étale locally on the base scheme (Lemma 3.15). This weaker analogue of Lemma 3.9 will turn out to be sufficient for our proof of the Shafarevich conjecture in this case.

We start with the following general criterion for a Fano variety to be infinitesimally rigid.

**Lemma 3.10.** Let k be a field of characteristic zero, and let n,  $\rho$ , r and d be integers such that the set of  $\bar{k}$ -isomorphism classes of Fano n-folds with Picard number  $\rho$ , index r and degree d is finite. Then for all Fano n-folds X over k with Picard number  $\rho$ , index r and degree d, we have  $H^1(X, \Theta_X) = 0$ .

*Proof.* Note that  $H^1(X, \Theta_X) \otimes_k \bar{k} \cong H^1(X_{\bar{k}}, \Theta_{X_{\bar{k}}})$ . Therefore, we may assume that k is algebraically closed.

We will prove the result using basic deformation theory. Assume for a contradiction that  $H^1(X, \Theta_X) \neq 0$ . As k is of characteristic zero, X is unobstructed (Lemma 2.5). Therefore, since the algebraic stack of Fano varieties satisfies Artin's axioms (and thus Axiom (4) in [65, Tag 07XJ]), the non-triviality of  $H^1(X, \Theta_X)$  shows that there exist a smooth affine connected curve T over k, a rational point  $t \in T(k)$ , and a smooth proper scheme  $f : \mathcal{X} \to T$  whose fibre over t is isomorphic to X, such that the Kodaira-Spencer map

$$\Theta_T \to R^1 f_* \Theta_{\mathcal{X}/T}$$

is non-zero. Due to the open nature of ampleness, on shrinking T, if necessary, we may further assume that  $\mathcal{X}/T$  is a Fano scheme (Definition 2.1).

Note that, by Proposition 2.10, all the fibres of f have the same invariants as X. In particular, by our assumption on n,  $\rho$ , r and d, there exists a Fano n-fold Y over k with Picard number  $\rho$ , index r and degree d, and infinitely many closed points p in T such that  $Y \cong \mathcal{X}_p$ . Thus the morphism of schemes  $\text{Isom}_T(\mathcal{X}, Y \times_k T) \to T$  is dominant, as its image is an infinite (hence dense) subset of T (here we use that a closed point p with residue field k(p) = k in T lies in the image of  $\text{Isom}_T(\mathcal{X}, Y \times_k T) \to T$  if and only if Y is k-isomorphic to  $\mathcal{X}_p = \mathcal{X} \times_T \text{Spec } k(p)$ ).

Next, by Corollary 2.6, the morphism  $\operatorname{Isom}_T(\mathcal{X}, Y \times_k T) \to T$  is of finite type. Thus, by generic flatness and spreading out, replacing T by a dense open if necessary, we may assume that  $\operatorname{Isom}_T(\mathcal{X}, Y \times_k T) \to T$  is of finite type, flat and dominant. Since flat finite type morphisms are open, replacing T by a dense open if necessary, the morphism  $\operatorname{Isom}_T(\mathcal{X}, Y \times_k T) \to T$  is of finite type, flat and surjective.

As the Fano *n*-fold  $\mathcal{X} \to T$  is trivial over the fppf cover  $\operatorname{Isom}_T(\mathcal{X}, Y \times_k T) \to T$ , the Kodaira-Spencer map is zero. This contradiction proves the lemma.

**Remark 3.11.** Smooth Fano varieties X over  $\mathbb{C}$  with  $H^1(X, \Theta_X) = 0$  may still admit non-trivial specialisations (see for example [54, Subsection 2.2]).

The hypotheses of Lemma 3.10 hold for Fano threefolds of Picard number 1, index 2 and degree 5, as we now explain.

**Lemma 3.12.** Let X and Y be Fano threefolds over a field k. If X and Y have Picard number 1, index 2 and degree 5, then  $X_{\bar{k}}$  and  $Y_{\bar{k}}$  are isomorphic.

*Proof.* Over  $\mathbb{C}$  this is [44, Corollary 3.4.2]. The general result follows from Megyesi's classification [52, Theorem 6.(iii)].

From Lemma 3.10 and Lemma 3.12 we immediately deduce the following.

**Corollary 3.13.** Let X be a Fano threefold over a field k of characteristic zero. If  $\rho(X) = 1$ , r(X) = 2 and d(X) = 5, then  $H^1(X, \Theta_X) = 0$ .

We now use spreading out of smoothness and the boundedness of the moduli of Fano threefolds with Picard number 1, index 2 and degree 5 over  $\mathbb{Z}$  to show that two such Fano threefolds over a suitable base are étale locally isomorphic.

**Lemma 3.14.** There exists an integer  $N \ge 1$  with the following property. Let B be a scheme such that N is invertible on B. If X and Y are Fano threefolds in  $\mathcal{F}_{2,5}(B)$ , then  $\operatorname{Isom}_B(X, Y) \to B$  is of finite type, smooth and affine.

*Proof.* Write  $\mathcal{M} = \mathcal{F}_{2,5}$  for the stack of Fano threefolds with Picard number 1, index 2 and degree 5 over  $\mathbb{Z}$ . Consider the diagonal  $\Delta : \mathcal{M} \to \mathcal{M} \times_{\mathbb{Z}} \mathcal{M}$ , and note that the stacks  $\mathcal{M}$  and  $\mathcal{M} \times_{\mathbb{Z}} \mathcal{M}$  are of finite type over  $\mathbb{Z}$  (Lemma 3.5). By Lemma 3.5, the representable morphism  $\Delta$  is affine and of finite type. Now, by Corollary 3.13 and [36, Corollary III.5.9] we find that  $\Delta_{\mathbb{Q}} : \mathcal{M}_{\mathbb{Q}} \to \mathcal{M}_{\mathbb{Q}} \times_{\mathbb{Q}} \mathcal{M}_{\mathbb{Q}}$  is formally smooth, hence smooth. In particular, by applying spreading out of smoothness [56, Proposition B.3.(xiii)] to the (finite type) diagonal morphism of  $\mathcal{M}$ , there exists an integer  $N \ge 1$  such that  $\Delta_{\mathbb{Z}[1/N]} : \mathcal{M}_{\mathbb{Z}[1/N]} \to \mathcal{M}_{\mathbb{Z}[1/N]} \times_{\mathbb{Z}[1/N]} \mathcal{M}_{\mathbb{Z}[1/N]}$  is smooth. Hence for all schemes B over  $\mathbb{Z}[1/N]$  and for all X and Y in  $\mathcal{F}_{2,5}(B)$ , the morphism Isom<sub>B</sub>(X, Y)  $\to B$ , obtained via base-change from  $\Delta_{\mathbb{Z}[1/N]}$ , is finite type, smooth and affine.

**Lemma 3.15.** There exists an integer  $N \ge 1$  with the following property. Let B be a scheme such that N is invertible on B. If X and Y are Fano threefolds in  $\mathcal{F}_{2,5}(B)$ , then X and Y are locally isomorphic over B for the étale topology, i.e. there exists an étale finite type surjective morphism  $C \rightarrow B$  such that  $X_C$  and  $Y_C$  are isomorphic over C.

*Proof.* Choose *N* as in Lemma 3.14. Write  $I := \text{Isom}_B(X, Y)$ . Note that it suffices to show that  $I \to B$  has locally a section for the étale topology on *B*. By Lemma 3.12, the morphism  $I \to B$  has non-empty geometric fibres, and is therefore surjective. By Lemma 3.14, the morphism  $I \to B$  is smooth and of finite type. As smooth surjective finite type morphisms of schemes locally have sections for the étale topology, this concludes the proof.

## 3.5. Period maps of Fano threefolds

We now study the period maps of certain Fano threefolds over  $\mathbb{C}$ , in particular Torelli type problems (see [18] for a discussion of some Torelli type problems). Due to a lack of global Torelli theorems, we will settle for infinitesimal Torelli theorems. Note that numerous classes of Fano threefolds over  $\mathbb{C}$  are known *not* to satisfy the infinitesimal Torelli property; for instance, Fano threefolds of Picard number 1, index 1 and degree 10 [23, Theorem 7.4] or degree 14 [43, Theorem 5.8].

3.5.1. Infinitesimal Torelli

We first show how to deduce the infinitesimal Torelli theorem in certain cases from known results in the literature, namely, for Fano threefolds with the following invariants:

$$\mathscr{T}_{or} = \left\{ (1, 2), (1, 6), (1, 8), (2, 1), (2, 2), (2, 3), (2, 4) \right\}.$$
(3.2)

Note that  $\mathscr{T}_{or} \subset \mathscr{S}_{ep}$  in the notation of (3.1).

**Proposition 3.16.** Let X be a Fano threefold over  $\mathbb{C}$  with  $\rho(X) = 1$  and  $(r(X), d(X)) \in \mathcal{T}_{or}$ . Then X satisfies the infinitesimal Torelli property, i.e. the morphism

$$H^1(X, \Theta_X) \rightarrow \operatorname{Hom}(H^{2,1}(X), H^{1,2}(X))$$

is injective.

*Proof.* Let X be as in the statement of the proposition. If (r(X), d(X)) = (1, 2), (2, 1) or (2, 2), then X is a certain hypersurface in some weighted projective space. The infinitesimal Torelli theorem in these cases follows from work of Saito, namely [57, Theorem 7.3] and [57, Theorem 7.6] (note that Saito, as many other authors, refers to "infinitesimal Torelli" as "local Torelli"; see [57, Definition 7.2]). The remaining cases are certain smooth complete intersections in projective space, where the result follows from Flenner's infinitesimal Torelli theorem [31, Theorem 3.1].

#### **3.5.2.** Quasi-finite Torelli for some Fano threefolds

A period map (which satisfies Griffiths transversality) on a quasi-projective complex manifold with injective differential has finite fibres. We record this finiteness statement for the Fano threefolds that interest us below (Theorem 3.17).

To state the next result, for X a finite type  $\mathbb{C}$ -scheme, we let  $X^{an}$  be the associated complex analytic space [36, Exp. XII].

**Theorem 3.17.** Let *H* be a polarised  $\mathbb{Z}$ -Hodge structure. Then the set of isomorphism classes of Fano threefolds *X* over  $\mathbb{C}$  such that:

(1)  $\rho(X) = 1;$ (2)  $(r(X), d(X)) \in \mathcal{T}_{or};$ (3) *H* is isomorphic to the polarised  $\mathbb{Z}$ -Hodge structure  $H^3(X^{\mathrm{an}}, \mathbb{Z})$ 

is finite.

*Proof.* Let  $(r, d) \in \mathcal{T}_{or}$  and let *X* be a Fano threefold over  $\mathbb{C}$  with  $\rho(X) = 1$ , r(X) = r and d(X) = d. By Lemma 3.5 and Lemma 3.8, the algebraic stack  $\mathcal{F}_{r,d,\mathbb{C}}$  is smooth finite type and Deligne-Mumford. In particular, there exist a smooth affine  $\mathbb{C}$ -scheme *U* and an étale surjective morphism  $U \to \mathcal{F}_{r,d,\mathbb{C}}$  over  $\mathbb{C}$ . Let  $f: Y \to U$  be the pull-back of the universal family over  $\mathcal{F}_{r,d,\mathbb{C}}$ .

Note that the set of u in  $U(\mathbb{C})$  with  $Y_u \cong X$  is finite and non-empty. Consider the period map  $p: U^{an} \to \Gamma \setminus D$  associated to  $R^3 f_*\mathbb{Z}$  and the choice of a base-point u in  $U(\mathbb{C})$ . Here D is the period domain defined by the polarised  $\mathbb{Z}$ -Hodge structure  $H^3(Y_u, \mathbb{Z})$  and  $\Gamma$  is the monodromy group of the family of Fano threefolds  $f: Y \to U$ ; see [15, Subsections 4.3-4.4] or [70, Chapter 10] for a detailed treatment of the construction of D and p. To prove the theorem, it suffices to show that p has finite fibres.

By Selberg's lemma (see [16, Theorem II] or [61, Lemma 8]), replacing U by an étale covering if necessary, we may assume that  $\Gamma$  acts freely on D, so that, by Proposition 3.16, the period map p is an immersion.

Now we show that  $p: U^{an} \to \Gamma \setminus D$  has finite fibres, using a similar method to the proof of [46, Theorem 2.8]. By [14, page 122] or [15, Corollary 13.4.6], there exists a smooth quasi-projective scheme U' over  $\mathbb{C}$ , an open immersion  $U \to U'$ of schemes, and a proper morphism of complex analytic spaces  $p': U'^{an} \to D/\Gamma$ which extends the period map  $p: U^{an} \to D/\Gamma$ . An application of Stein factorisation for proper morphisms of complex analytic spaces [35, Chapter 10.6.1] shows that there exist a proper surjective morphism  $p_0: U'^{an} \to U_0$  with connected fibres, and a finite morphism  $U_0 \rightarrow D/\Gamma$  such that p' factorises as



Since the restriction of p' to  $U^{an}$  is an immersion, it follows that  $p_0$  is an isomorphism when restricted to  $U^{an}$ . In particular, the period map p factors as  $U^{an} \subset$  $U_0 \rightarrow D/\Gamma$ , hence has finite fibres. 

## 4. Good reduction

#### 4.1. Fano threefolds

In this section we prove Theorems 1.1, 1.2 and 1.3. We begin with the following definition.

**Definition 4.1.** Let *B* be an integral Noetherian scheme with function field *K* and let X be a proper variety over K. A model for X over B is a flat proper B-scheme  $\mathcal{X} \to B$  together with a choice of isomorphism  $\mathcal{X}_K \cong X$ . We say that:

- (1) X has smooth reduction at a point v of B if X has a smooth model over the localisation  $B_v$  of B at v;
- (2) X has smooth reduction over B if X has smooth reduction at all points of codimension one of B.

Our first result is key, and shows the finiteness of the set of "periods" (i.e. integral polarised Hodge structures) of Fano threefolds with smooth reduction. It makes use of the theory of the intermediate Jacobian over non-algebraically closed fields, due to Achter-Casalaina-Martin-Vial [3], together with Faltings's finiteness results for Abelian varieties [29,30,68].

**Proposition 4.2.** Let B be an arithmetic scheme with function field K. Let  $\sigma$ :  $K \to \mathbb{C}$  be an embedding. Then the set of isomorphism classes of polarised  $\mathbb{Z}$ -Hodge structures  $H^3(X_{\mathbb{C}},\mathbb{Z})$ , where X runs over all Fano threefolds over K with smooth reduction over B, is finite.

*Proof.* For X a Fano threefold over K, we let J(X) be its intermediate Jacobian over K. The existence of this principally polarised Abelian variety over K is a special case of the main result of [3] (cf. [3, Corollary 5.6]). The intermediate Jacobian J(X) has the following cohomological properties:

(1)  $H^1(J(X)_{\bar{K}}, \mathbb{Q}_{\ell}) \cong H^3(X_{\bar{K}}, \mathbb{Q}_{\ell}(1))$  as  $\operatorname{Gal}(\bar{K}/K)$ -representations; (2)  $H^1(J(X_{\mathbb{C}}), \mathbb{Z}) \cong H^3(X_{\mathbb{C}}, \mathbb{Z}(1))$  as polarised  $\mathbb{Z}$ -Hodge structure.

As there are only finitely many deformation types of complex algebraic Fano threefolds (see [21, Section 5], [22, Theorem 5.19] or [44]), the intermediate Jacobian J(X) has bounded dimension. Moreover, if X has smooth reduction over B, then property (1) together with the Néron-Ogg-Shafarevich criterion [62] shows that J(X) also has smooth reduction over B. Thus, by Faltings's finiteness theorem [30], the set of K-isomorphism classes of intermediate Jacobians J(X), where X runs over all Fano threefolds over K with smooth reduction over B, is finite. In particular, the set of isomorphism classes of polarised integral Hodge structures  $H^1(J(X_{\mathbb{C}}), \mathbb{Z})$ , for such Fano threefolds X, is finite. The result then follows from property (2).

**Definition 4.3.** Let *B* be an integral Noetherian scheme with function field *K*. Let *X* be a Fano variety over *K*. A *good model for X over B* is a Fano scheme  $\mathcal{X} \to B$  together with a choice of isomorphism  $\mathcal{X}_K \cong X$ . We say that *X* has *good reduction over B* if, for all *v* in  $B^{(1)}$ , the Fano variety *X* has a good model over Spec  $\mathcal{O}_{B,v}$ .

**Lemma 4.4.** Let B be an integral Noetherian scheme with function field K. Let X be a Fano variety over K. Then X has good reduction over B if and only if there exists a dense open subscheme  $U \subset X$ , with  $X \setminus U$  of codimension at least two, such that X has a good model over U.

*Proof.* This follows from a standard argument (see *e.g.* [9, Proposition 1.4.1]), by gluing models over suitable open neighbourhoods of B along their generic fibre.

Over Dedekind schemes there is no difference between good reduction and having a good model.

**Lemma 4.5.** Let B be a Dedekind scheme with function field K. Let X be a Fano variety over K. Then X has good reduction over B if and only if X has a good model over B.

Proof. This follows from Lemma 4.4.

4.1.1. Twists

We now prove some properties about good reduction and twists.

**Definition 4.6.** Let X be a Fano variety over a field k. A Fano variety Y over k is a *twist of X over k* if  $X_{\bar{k}}$  is isomorphic to  $Y_{\bar{k}}$  with respect to some algebraic closure  $k \to \bar{k}$ .

Our next finiteness result uses the finiteness result of Hermite-Minkowski and the separatedness of certain stacks of Fano threefolds (Subsection 3.3). Analogous finiteness results have been proven for varieties with ample canonical bundle [45, Lemma 4.1] and complete intersections [46, Theorem 4.10]. Recall the definition of  $\mathcal{S}_{ep}$  given in (3.1).

**Proposition 4.7.** Let B be an arithmetic scheme with function field K. Let X be a Fano threefold over K with  $\rho(X) = 1$  and  $(r(X_K), d(X_K)) \in \mathscr{S}_{ep}$ . Then the set of K-isomorphism classes of Fano threefolds Y over K which are twists of X and have good reduction over B is finite.

*Proof.* In what follows, we are allowed to shrink *B* if necessary, *i.e.* replace *B* by a dense open subscheme. Thus, choosing  $N \ge 1$  as in Lemma 3.8 and Lemma 3.9, we may assume that *N* is invertible on *B*. Further shrinking *B*, if necessary, we may assume that *X* has a good model over *B*, say  $\mathcal{X} \to B$ . Again shrinking *B*, we may assume that  $\operatorname{Aut}_B(\mathcal{X})$  is finite étale over *B*. Indeed, the automorphism group scheme  $\operatorname{Aut}_K(X)$  of *X* is finite étale over *K* by our assumptions on the index and degree of *X* (Lemma 3.8), and  $\operatorname{Aut}_B(\mathcal{X}) \to B$  is of finite type over *B* (Corollary 2.6), so that we may apply spreading out for finite étale morphisms.

Let Y be a twist of X over K with a good reduction over B. For each  $v \in B^{(1)}$ , let  $\mathcal{Y}_v$  be a good model for Y over  $B_v = \operatorname{Spec} \mathcal{O}_{B,v}$ . Note that Lemma 3.3 implies that  $\mathcal{Y}_v$  has Picard number 1, index r(X) and degree d(X). In particular, we deduce from Lemma 3.9 the following: Let L/K be a finite field extension such that  $X_L$ and  $Y_L$  are isomorphic over L. Then for all  $v \in B^{(1)}$  we have  $\mathcal{X} \times_{B_v} C_v \cong$  $\mathcal{Y}_v \times_{B_v} C_v$ , where  $C_v \to B_v$  is the normalization of  $B_v$  in L. Since  $C_v \to B_v$ is finite flat surjective and the affine finite type scheme  $\operatorname{Isom}_{B_v}(X_v, Y_v)$  has a  $C_v$ point, we conclude that  $\operatorname{Isom}_{B_v}(X_v, Y_v)$  is an  $\operatorname{Aut}_{B_v}(\mathcal{X}_v)$ -torsor over  $B_v$ . Hence the class [Y] of Y in  $H^1(K, \operatorname{Aut}_K(X))$  lies in the subset

$$\bigcap_{v \in B^{(1)}} \operatorname{Im} \left( H^1(B_v, \operatorname{Aut}_{B_v}(\mathcal{X}_v)) \to H^1(K, \operatorname{Aut}_K(X)) \right).$$

That this set is finite now follows from Hermite-Minkowski for arithmetic schemes (see [46, Lemma 4.5]). The result is proved.  $\Box$ 

#### 4.1.2. Finiteness results

Recall the definition of  $\mathscr{T}_{or}$  given in (3.2).

**Theorem 4.8.** Let B be an arithmetic scheme with function field K. The set of K-isomorphism classes of Fano threefolds X over K with Picard number 1, good reduction over B and  $(\mathbf{r}(X), \mathbf{d}(X)) \in \mathcal{T}_{or}$  is finite.

*Proof.* Fix an embedding  $K \to \mathbb{C}$ . By the finiteness of periods (Proposition 4.2) and the quasi-finite Torelli (Theorem 3.17), the set of  $\mathbb{C}$ -isomorphism classes of Fano threefolds X over K with Picard number 1, good reduction over B and  $(r(X), d(X)) \in \mathscr{T}_{or}$  is finite. The result then follows from Proposition 4.7.

**Remark 4.9.** We give an (imprecise) explanation of how Theorem 4.8 is compatible with the Lang-Vojta conjecture; see [1,13], [42, Conjecture F.5.3.6], and [46, Conjecture 6.1]. This can be made more precise using the techniques from [46, Section 6].

Let *B* be a smooth quasi-projective scheme over  $\mathbb{C}$ , and let  $X \to B$  be a nonisotrivial Fano threefold in  $\mathcal{F}_{r,d}(B)$  with  $(r,d) \in \mathcal{T}_{or}$ . The infinitesimal Torelli theorem (Proposition 3.16) and properties of period maps with injective differential (see [46, Lemma 6.3]) imply that *B* is in fact Brody hyperbolic, *i.e.* all holomorphic maps  $\mathbb{C} \to B(\mathbb{C})$  are constant. In particular, the stack  $\mathcal{F}_{r,d,\mathbb{C}}$  is Brody hyperbolic. Ignoring stacky issues, the Lang-Vojta conjecture predicts that the moduli stack of such Fano threefolds has only finitely many (isomorphism classes of) integral points, which Theorem 4.8 indeed confirms.

**Proposition 4.10.** Let K be a number field and let S be a finite set of finite places of K. The set of K-isomorphism classes of Fano threefolds X over K with Picard number 1, index 2, degree 5 and good reduction outside of S is finite.

*Proof.* Choose N as in Lemma 3.15. We may assume that S contains all the places of K lying over the prime divisors of N. Write  $B = \text{Spec } \mathcal{O}_K[S^{-1}]$ . By Lemma 3.3 and Lemma 4.5, it suffices to show that the set of B-isomorphism classes of Fano threefolds  $X \to B$  of Picard number 1, index 2 and degree 5 is finite.

Let X be such a Fano threefold over B. By Lemma 3.15 the set of B-isomorphism classes of Fano threefolds over B of Picard number 1, index 2 and degree 5 is a subset of  $H^1(B, \operatorname{Aut}_B(X))$ . As  $\operatorname{Aut}_B(X)$  is affine and of finite type (Corollary 2.6), this cohomology set is finite by [34, Proposition 5.1]. This concludes the proof.

*Proof of Theorem* 1.1. Let  $B = \text{Spec } \mathcal{O}_K[S^{-1}]$  and recall the classification given in Subsection 3.1. Since Fano threefolds with Picard number 1 and index > 2 are flag varieties, the set of such Fano threefolds with good reduction over B is finite [47, Theorem 1.4]. The remaining cases follow from Theorem 4.8 and Proposition 4.10.

*Proof of Theorem* 1.2. This follows immediately from Theorem 4.8.

## 4.2. Sextic surfaces

In this section we give an application of our results to smooth sextic surfaces. Here we use the following notion of good reduction, which agrees with the notion of good reduction used in [46].

**Definition 4.11.** Let B be an integral Noetherian scheme with function field K. Let X be a smooth sextic surface over K.

A good model for X over B is a smooth sextic surface over B together with choice of an isomorphism  $\mathcal{X}_K \cong X$ . We say that X has good reduction over B if, for all  $v \in B^{(1)}$ , the sextic X has a good model over Spec  $\mathcal{O}_{B,v}$ .

Here, by a smooth sextic surface over *B*, we mean a smooth proper scheme over *B* in  $\mathbb{P}^3_B$  which is the zero locus of a non-zero global section of the sheaf  $\pi_*\mathcal{O}_{\mathbb{P}^3_R}(6)$ , where  $\pi:\mathbb{P}^3_B\to B$  denotes the natural projection.

We will deduce Theorem 1.3 from the following more general result.

**Theorem 4.12.** Let B be an arithmetic scheme. Then the set of B-isomorphism classes of smooth sextic surfaces in  $\mathbb{P}^3_B$  is finite.

*Proof.* We prove the result by combining Theorem 4.8 with some of the tools from our paper on complete intersections [46]. Let K be the function field of B and let  $K \rightarrow \overline{K}$  be an algebraic closure. By the unicity of good models [46, Lemma 4.7], it suffices to show that the set of K-isomorphism classes of smooth sextic surfaces which have a good model over B is finite. In particular, we may assume that B = Spec A is affine and that 6 is invertible in A, so that a good model is defined by some homogeneous equation of degree 6 over A.

Let X be a smooth sextic surface over K with a good model  $\mathcal{X}_f$  in  $\mathbb{P}^3_B$ , defined by some homogeneous polynomial f of degree 6 over A. Consider the scheme  $\mathcal{Y}_f$ in  $\mathbb{P}_B(3, 1, 1, 1, 1)$  over B defined by  $y^2 = f(x)$ . This is a Fano threefold over B of Picard number 1, index 1 and genus 2 (see Section 3.1). Note that this construction depends on the choice of a good model for X; different choices may give rise to non-isomorphic  $\mathcal{Y}_f$  (*e.g.*, simply multiply the equation of f by a non-square in the unit group A\*). Nevertheless, by Theorem 4.8, we see that as  $\mathcal{X}_f$  varies over all possible good models for each such smooth sextic X, we obtain only finitely many B-isomorphism classes for the  $\mathcal{Y}_f$ . The required finiteness now easily follows.  $\Box$ 

*Proof of Theorem* 1.3. Write  $B = \text{Spec } \mathcal{O}_K[S^{-1}]$ . Shrinking *B* if necessary, we may assume that Pic B = 0. Hence any smooth sextic surface *X* over *K* with good reduction over *B* has a good model over *B* by [46, Lemma 4.8] (this is an analogue of Lemma 4.5 for complete intersections). The result then follows from Theorem 4.12.

**Remark 4.13.** The idea of the proof of Theorem 4.12 can be captured by the following "correspondence" of complex algebraic stacks:



Here  $\mathcal{F}_{1,2,\mathbb{C}} \to \mathcal{C}_{(6;2),\mathbb{C}}$  is the  $\mu_2$ -gerbe which associates to a Fano threefold in  $\mathcal{F}_{1,2,\mathbb{C}}$  the branch locus of the associated anticanonical double covering of  $\mathbb{P}^3$ , and  $\mathcal{F}_{1,2,\mathbb{C}} \to \mathcal{A}_{52,\mathbb{C}}$  is the quasi-finite morphism of algebraic stacks which associates to a Fano threefold in  $\mathcal{F}_{1,2,\mathbb{C}}$  its intermediate Jacobian; the algebraicity of the intermediate Jacobian as a morphism of analytic stacks follows from Borel's theorem (see [8, Theorem 3.10] or [24, Theorem 5.1]). It is this correspondence which allows to relate sextic surfaces to Abelian varieties, and, in particular, allows us to prove the Shafarevich conjecture for sextic surfaces using the Shafarevich conjecture for Abelian varieties.

## 5. Counter examples: infinitude and non-density

The aim of this section is to prove Theorem 1.4 and Theorem 1.5. To do this, we shall consider the class of Fano varieties obtained by blowing up lines on smooth complete intersections of two quadrics in  $\mathbb{P}^5$ . These threefolds appear as No. 19 of Table 12.3 of [44] in the classification of Fano threefolds, and have index 1, degree 26 and third Betti number 4.

We will require certain results on such threefolds proved in [44]. However, the authors of *loc. cit.* work throughout over a field of characteristic 0. Nonetheless, one can check that the results from *loc. cit.* that we require in this section continue to hold over fields of characteristic not equal to 2.

#### 5.1. A counter example

Let k be a field of characteristic not equal to 2 and let X be a smooth complete intersection of two quadrics in  $\mathbb{P}_k^5$ . The lines in X are parametrised by a smooth proper surface over k, which is a torsor under the intermediate Jacobian J(X) of X (see *e.g.* [17, Theorem 17.0.1]). Let  $L \subset X$  be a line on X (if it exists). The blow-up Bl<sub>L</sub> X of X at L is a Fano threefold of Picard number 2 [44, Proposition 3.4.1].

**Lemma 5.1.** Let X be a smooth complete intersection of two quadrics in  $\mathbb{P}^5_k$  which contains a line  $L \subset X$ . Denote by  $\pi : \operatorname{Bl}_L X \to X$  the blow-up of X at L with exceptional divisor E. Then E is the unique non-trivial reduced divisor on X with the property  $E^3 = 0$ .

*Proof.* The Picard group of  $\operatorname{Bl}_L X$  is the free Abelian group generated by E and  $H^* := \pi^* H$ , where H denotes the class of the hyperplane section on X. By [44, Lemma 2.2.14] we have

$$(H^*)^3 = 4, \ (H^*)^2 \cdot E = 0, \ (H^*) \cdot E^2 = -1, \ E^3 = 0.$$

It follows that for any class  $aH^* + bE \in \text{Pic}(\text{Bl}_L X)$  we have

$$(aH^* + bE)^3 = 4a^3 - 3ab^2 = a(4a^2 - 3b^2).$$

Hence for the above intersection number to be 0, we must have a = 0. However, if f denotes the class in the Chow group  $A^2(\operatorname{Bl}_L X)$  of a fibre of the ruling of E, then by [44, Lemma 2.2.14] we have  $E \cdot f = -1$ . It follows that  $H^0(\operatorname{Bl}_L X, bE) = k$  for all b > 0, which proves the result.

We now study the isomorphism classes of these varieties. Let  $X_1$  and  $X_2$  be smooth complete intersections of two quadrics in  $\mathbb{P}^5_k$  which each contain a line  $L_i \subset X_i$ . Denote by  $\operatorname{Isom}((X_1, L_1), (X_2, L_2))$  the scheme of isomorphisms from  $X_1$  to  $X_2$  which map  $L_1$  to  $L_2$ . From the universal property of blow-ups [41, Proposition 7.14], we obtain a natural morphism of schemes

$$\text{Isom}((X_1, L_1), (X_2, L_2)) \to \text{Isom}(\text{Bl}_{L_1} X_1, \text{Bl}_{L_2} X_2).$$
(5.1)

**Lemma 5.2.** *The morphism* (5.1) *is an isomorphism.* 

*Proof.* As  $2 \in k^*$ , by [6, Theorem 3.1 and Proposition 3.7] we have  $H^0(X_i, \Theta_{X_i}) = 0$ . It follows that the schemes  $\text{Isom}((X_1, L_1), (X_2, L_2))$  and  $\text{Isom}(\text{Bl}_{L_1} X_1, \text{Bl}_{L_2} X_2)$  are both smooth over k. Moreover  $\text{Isom}((X_1, L_1), (X_2, L_2))$  is finite over k by Lemma 3.8. Hence it suffices to show that (5.1) is a bijection on geometric points.

It is clearly injective. To show surjectivity, consider  $f \in \text{Isom}(\text{Bl}_{L_1}X_1, \text{Bl}_{L_2}X_2)$ . Denote by  $\pi_i$  the corresponding blow-up map, by  $E_i$  the exceptional divisor and by  $H_i^* := \pi_i^* H$ . By Lemma 5.1 we have  $f(E_1) = E_2$ . Moreover, clearly  $f^*K_{\text{Bl}_{L_2}X_2} = K_{\text{Bl}_{L_1}X_1}$ . Also, we have  $-K_{\text{Bl}_{L_i}X_i} = -\pi_i^*K_{X_i} - E_i = 2H_i^* - E_i$ . Therefore, as Pic(Bl<sub>L1</sub> X<sub>1</sub>) is a free Abelian group, we find that  $f^*H_2^* = H_1^*$ . Thus, there exists an automorphism  $g : \mathbb{P}^5_k \to \mathbb{P}^5_k$  such that the following diagram



commutes. The result follows.

We now prove the following more precise version of Theorem 1.4.

**Theorem 5.3.** The set of  $\mathbb{Q}$ -isomorphism classes of Fano threefolds X over  $\mathbb{Q}$  with *Picard number 2, index 1, degree 26, third Betti number 4, and good reduction outside away from 2 and 29 is infinite.* 

*Proof.* Let X be a smooth complete intersection of two quadrics in  $\mathbb{P}^5_{\mathbb{Q}}$  which contains infinitely many lines over  $\mathbb{Q}$ , and has good reduction away from  $S := \{2, 29\}$ ; the example given in [10, Example 3.6] satisfies these properties. Choose a good model  $\mathcal{X} \subset \mathbb{P}^5_B$  for X over  $B := \mathbb{Z}[S^{-1}]$ ; this exists by [46, Lemma 4.8]. Let  $L \subset X$  be a line. As the relative scheme of lines  $\mathcal{L}(\mathcal{X}/B)$  is proper over B, there exists a unique relative line  $\mathcal{L}$  on  $\mathcal{X}$  such that  $\mathcal{L}_{\mathbb{Q}} = L$ . As the blow-up Bl $\mathscr{L}\mathcal{X}$  is a good model for Bl<sub>L</sub> X over B, the Fano threefold Bl<sub>L</sub> X has good reduction over B. However, by Lemma 3.8 we know that Aut(X) is finite. A simple application of Lemma 5.2 therefore shows that as L runs over the infinitely many lines of X defined over  $\mathbb{Q}$ , we obtain infinitely many  $\overline{\mathbb{Q}}$ -isomorphism classes for the Bl<sub>L</sub> X. This gives the required counter examples.

*Proof of Theorem* 1.4. This clearly follows from Theorem 5.3.

**Remark 5.4.** Note that the Fano threefolds constructed in the proof of Theorem 5.3 do not satisfy the infinitesimal Torelli theorem. Indeed, they each have the same intermediate Jacobian, as they differ by the blow-up of a curve of genus zero on a fixed smooth intersection of two quadrics in  $\mathbb{P}^5_{\mathbb{C}}$  (see [19, Lemma 3.11]). The global Torelli theorem for such intersections [26, Corollary 3.4] shows that the period map for the Fano threefolds appearing in the proof of Theorem 5.3 has two-dimensional smooth proper fibres.

**Remark 5.5.** Our method does not allow us to take  $S = \emptyset$  in the statement of Theorem 1.4. In our proof of Theorem 5.3 we require *S* to contain all primes of bad reduction for *X*, however, a simple application of the theorem of Abrashkin [2] and Fontaine [32] shows that there is no smooth odd-dimensional complete intersection of two quadrics over  $\mathbb{Q}$  with everywhere good reduction.

# 5.2. Non-density of integral points

Although the set of (isomorphism classes of)  $\mathbb{Z}[1/58]$ -integral points on the stack of Fano threefolds with Picard number 2, index 1, degree 26 and third Betti number 4 is infinite (by Theorem 5.3), we now show that its  $\mathcal{O}_K[S^{-1}]$ -points are never dense (see Theorem 5.8).

## 5.2.1. Lines on intersections of two quadrics

Let  $C := C_{(2,2;3)} = \mathcal{F}_{2,4}$  be the stack of smooth intersections of two quadrics in  $\mathbb{P}^5$  (see [6,46]). Note that C is a smooth finite type separated algebraic stack over  $\mathbb{Z}$ , and that  $C_{\mathbb{Z}[1/2]}$  is Deligne-Mumford over  $\mathbb{Z}[1/2]$  (see [6, Theorem 1.6]). Let  $\mathcal{U} \to C$  be the universal family, and let  $\mathcal{L} \to C$  be the stack of lines on  $\mathcal{U} \to C$ . More precisely, for *S* a scheme, the groupoid  $\mathcal{L}(S)$  has objects pairs  $(X \to S, L)$  with  $X \to S$  in  $\mathcal{C}(S)$  and *L* a line on *X* over *S*, and a morphism from  $(X \to S, L)$  to  $(X' \to S', L')$  in  $\mathcal{L}$  is a morphism from  $X \to S$  to  $X' \to S'$  in C which sends the line *L* to the line *L'*.

**Lemma 5.6.** The forgetful morphism  $\mathcal{L} \to \mathcal{C}$  is representable by schemes, smooth and proper.

*Proof.* By the theory of Hilbert schemes, the morphism of stacks  $\mathcal{L} \to \mathcal{C}$  is representable by schemes and proper. The smooth ness of it is a well-known property of intersections of two quadrics [44, Proposition 3.3.5(i)].

# 5.2.2. A stack of Fano threefolds

Let  $\mathcal{M}$  be the stack of Fano threefolds with Picard number 2, index 1, degree 26 and third Betti number 4. It follows from Lemma 2.4 and Lemma 3.3 that  $\mathcal{M}$  is a locally finite type algebraic stack over  $\mathbb{Z}$  with affine finite type diagonal. There is a morphism

$$\mathcal{L}_{\mathbb{Z}[1/2]} \to \mathcal{M}_{\mathbb{Z}[1/2]} \tag{5.2}$$

given by sending a pair  $(X \rightarrow S, L)$  to the blow-up of X at L.

**Lemma 5.7.** The morphism  $\mathcal{L}_{\mathbb{Z}[1/2]} \to \mathcal{M}_{\mathbb{Z}[1/2]}$  in (5.2) is an isomorphism of stacks.

*Proof.* This follows from Lemma 5.2 and the classification of Fano threefolds with Picard number two [58].  $\Box$ 

We now formulate and prove a more precise version of Theorem 1.5.

**Theorem 5.8.** Let K be a number field and S be a finite set of finite places of K. Then there is a proper closed substack  $\mathcal{Z}$  of  $\mathcal{M}$  such that any morphism  $\operatorname{Spec} \mathcal{O}_K[S^{-1}] \to \mathcal{M}$  factorises over the inclusion  $\mathcal{Z} \to \mathcal{M}$ .

*Proof.* We may assume that *S* contains all places above 2. Suppose that there is no such substack, so that there exist a number field *K* and a finite set of finite places *S* of *K* such that  $\mathcal{M}(\mathcal{O}_K[S^{-1}])$  is Zariski dense. In particular, since the stack  $\mathcal{L}_{\mathbb{Z}[1/2]}$  is isomorphic to  $\mathcal{M}_{\mathbb{Z}[1/2]}$  (Lemma 5.7), we see that  $\mathcal{L}(\mathcal{O}_K[S^{-1}])$  is Zariski dense. Next, note that  $\mathcal{L} \to \mathcal{C}$  is a smooth proper surjective morphism (Lemma 5.6). In particular, since  $\mathcal{L}(\mathcal{O}_K[S^{-1}])$  is dense in  $\mathcal{L}$ , it follows that  $\mathcal{C}(\mathcal{O}_K[S^{-1}])$  is dense in  $\mathcal{C}$ . As  $\mathcal{C}$  is separated over  $\mathbb{Z}$ , Lemma 3.9 then implies that the set of Fano threefolds with Picard number 1, index 2 and degree 4 over *K* with good reduction outside *S* is infinite. This contradicts our finiteness result for such Fano threefolds (Theorem 4.8).

**Remark 5.9.** We finish with an (imprecise) explanation of how Theorem 5.8 is in accordance with Campana's generalization of the Lang-Vojta conjecture (see [12, Conjecture 13.23]). Our proof of Theorem 5.8 shows that the stack  $\mathcal{M}$  admits a smooth fibration over the hyperbolic stack  $\mathcal{C}$ , all of whose geometric fibres are Abelian surfaces. In particular, this implies that the moduli stack  $\mathcal{M}$  is *non-special* in the sense of Campana [12, Definition 8.1]. Ignoring stacky issues, Theorem 5.8 is therefore in accordance with Campana's generalization of the Lang-Vojta conjecture [12, Conjecture 13.23] which asserts that a variety is *non-special* if and only if for all number fields K and finite sets of finite places S of K, its set of  $\mathcal{O}_K[S^{-1}]$ -points is not dense.

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